

CONTRACT

Between

CONNECTICUT GENERAL ASSEMBLY

Acting by its

JOINT COMMITTEE ON LEGISLATIVE MANAGEMENT

AND

**WEST PUBLISHING CORPORATION D/B/A
WEST, A THOMSON REUTERS BUSINESS**

PREPARATION OF THE INDICES TO THE 2022, AND 2024 SUPPLEMENTS TO THE
GENERAL STATUTES AND THE
2021 AND 2023 *OFFICIAL* GENERAL STATUTES OF CONNECTICUT
JCLM20REG0015

Contract Table of Contents

1. Definitions
 2. Contracting Vehicle
 3. Description of Goods and Services
 4. Rejected Items; Abandonment
 5. Order and Delivery
 6. Contract Amendments
 7. Assignment
 8. Termination
 9. Cost Modifications
 10. Breach
 11. Waiver
 12. Open Market Purchases
 13. Purchase Orders
 14. Indemnification
 15. Forum and Choice of Law
 16. Contractor Guaranties
 17. Implied Warranties
 18. Goods, Standards and Appurtenances
 19. Delivery
 20. Goods Inspection
 21. Emergency Standby for Goods and/or Services
 22. Setoff
 23. Force Majeure
 24. Advertising
 25. Americans With Disabilities Act
 26. Representations and Warranties
 27. Representations and Warranties Concerning Motor Vehicles
 28. Disclosure of Contractor Parties Litigation
 29. Entirety of Contract
 30. Attachments
 31. Non-Discrimination
 32. Tangible Personal Property
 33. Whistleblowing
 34. Insurance
 35. Headings
 36. Number and Gender
 37. Parties
 38. Contractor Changes
 39. Further Assurances
 40. Audit and Inspection of Plants, Places of Business and Records
 41. Background Checks
 42. Continued Performance
 43. Working and Labor Synergies
 44. Contractor Responsibility
 45. Severability
 46. Confidential Information
 47. References to Statutes, Public Acts, Regulations, Codes and Executive Orders
 48. Cross-Default
 49. Disclosure of Records
 50. Summary of State Ethics Laws
 51. Sovereign Immunity
 52. Time of the Essence
 53. Certification as Small Contractor or Minority Business Enterprise
 54. Campaign Contribution Restriction
 55. Protection of Confidential Information
 56. Audit Requirements for Recipients of State Financial Assistance
 57. Anti-Trust
- ATTACHMENT A** - Description of Goods & Services and
ATTACHMENT B - Price Schedule
ATTACHMENT C - Required Forms Checklist
ATTACHMENT D - Campaign Contribution Ban Notice
ATTACHMENT E - Technical Specifications

Contract Document

Contract Title: Preparation of the Indices to the 2022, and 2024

Supplements to the General Statutes and the 2021 and 2023 *official*

General Statutes of Connecticut

Contract # JCLM20REG0015

This contract (the "Contract") is between, West Publishing Corporation d/b/a West, a Thomson Reuters business ("Contractor"), and the Joint Committee on Legislative Management ("JCLM") on behalf of the CT General Assembly (CGA), in accordance with Connecticut General Statutes (Statute).

The provisions in the Attachments supersede any conflicting provisions in the Contract.

1. **Definitions.** Unless otherwise indicated, the following terms shall have the following corresponding definitions:

- (a) Bid: A Bid submitted in response to a Solicitation.
- (b) Claims: All actions, suits, claims, demands, investigations and proceedings of any kind, open, pending or threatened, whether mature, unmaturing, contingent, known or unknown, at law or in equity, in any forum.
- (c) Confidential Information: This shall mean any name, number or other information that may be used, alone or in conjunction with any other information, to identify a specific individual including, but not limited to, such individual's name, date of birth, mother's maiden name, motor vehicle operator's license number, Social Security number, employee identification number, employer or taxpayer identification number, alien registration number, government passport number, health insurance identification number, demand deposit account number, savings account number, credit card number, debit card number or unique biometric data such as fingerprint, voice print, retina or iris image, or other unique physical representation. Without limiting the foregoing, Confidential Information shall also include any information that the CGA classifies as "confidential" or "restricted." Confidential Information shall not include information that may be lawfully obtained from publicly available sources or from federal, state, or local government records which are lawfully made available to the general public.
- (d) Confidential Information Breach: This shall mean, generally, an instance where an unauthorized person or entity accesses Confidential Information in any manner, including, but not limited to, the following occurrences: (1) any Confidential Information that is not encrypted or protected is misplaced, lost, stolen or in any way compromised; (2) one or more third parties have had access to or taken control or possession of any Confidential Information that is not encrypted or protected without prior written authorization from the CGA; (3) the unauthorized acquisition of encrypted or protected Confidential Information together with the confidential process or key that is capable of compromising the integrity of the Confidential Information; or (4) if there is a substantial risk of identity theft or fraud to the CGA, the Contractor, or the State.
- (e) Contract: The agreement, as of its Effective Date, between the Contractor and the CGA for any or all Goods or Services at the Solicitation price.
- (f) Contractor: A person or entity who submits a Solicitation response and who executes a Contract.
- (g) Contractor Parties: Any person or entity with whom the Contractor is in privity of oral or written contract to perform under the Contract in any capacity.
- (h) Day: All calendar days other than Saturdays, Sundays and days designated as national or State of Connecticut holidays upon which banks in Connecticut are closed.

Contract Document

Contract Title: Preparation of the Indices to the 2022, and 2024

Supplements to the General Statutes and the 2021 and 2023 *official*

General Statutes of Connecticut

Contract # JCLM20REG0015

- (i) Force Majeure: Events that materially affect the cost of the Goods or Services or the time schedule within which to Perform and are outside the control of the party asserting that such an event has occurred, including, but not limited to, labor troubles unrelated to the Contractor, failure of or inadequate permanent power, unavoidable casualties, fire not caused by the Contractor, extraordinary weather conditions, disasters, riots, acts of God, insurrection or war.
- (j) Goods: For purposes of the Contract, all things which are movable at the time that the Contract is effective and which include, without limiting this definition, supplies, materials and equipment, as specified in the Solicitation and set forth in Attachment A.
- (k) Goods or Services: Goods, Services or both, as specified in the Solicitation and set forth in Attachment A.
- (l) Records: All working papers and such other information and materials as may have been accumulated by the Contractor in performing the Contract, including, but not limited to, documents, data, plans, books, computations, drawings, specifications, notes, reports, records, estimates, summaries and correspondence, kept or stored in any form.
- (m) Services: The performance of labor or work, as specified in the Solicitation and set forth in Attachment A.
- (n) Solicitation: A State request, in whatever form issued, inviting bids, proposals or quotes for Goods or Services, typified by, but not limited to, an invitation to bid, request for proposals, request for information or request for quotes. The Solicitation and this Contract shall be governed by the statutes, regulations and procedures of the State of Connecticut, Department of Administrative Services, even if the CGA has statutes, regulations and procedures which overlap DAS's. However, to the extent that the CGA has statutes, regulations or procedures which the CGA determines in its sole discretion to be inconsistent with DAS's, the CGA's shall control over those of DAS's.

The Solicitation is incorporated into and made a part of the Contract as if it had been fully set forth in it if, but only if, the Solicitation is in the form of an invitation to bid, request for information or request for quotes. A Solicitation in the form of a request for proposals is not incorporated into the Contract in its entirety, but, rather, it is incorporated into the Contract only to the extent specifically stated in Attachment A.
- (o) State: The State of Connecticut, including the CGA and any office, department, board, council, commission, institution or other agency of the State.
- (p) Termination: An end to the Contract prior to the end of its term whether effected pursuant to a right which the Contract creates or for a breach.
- (q) Title: all ownership, title, licenses, rights and interest, including, but not limited to, perpetual use, of and to the Goods or Services.

2. Contracting Vehicle. The Solicitation may involve an invitation to bid, request for proposals, request for information or request for quotes, each of which may be governed by different statutory, regulatory and administrative procedures. ALTHOUGH THIS CONTRACT USES THE TERMS "SOLICITATION" AND "BID," ITS USE OF THOSE TERMS IS INTENDED ONLY FOR PURPOSES OF CONVENIENCE AND SHALL NOT BE DEEMED TO BE A CONTROLLING STATEMENT AS TO THE TYPE OF SOLICITATION USED OR THE RESPECTIVE RIGHTS AND OBLIGATIONS OF THE PARTIES. THE IDENTIFICATION IN THE SOLICITATION OF THE PARTICULAR PROCUREMENT VEHICLE THE STATE IS USING TO SOLICIT GOODS OR SERVICES SHALL CONTROL. Therefore, if the Solicitation identifies the

Contract Document

Contract Title: Preparation of the Indices to the 2022, and 2024

Supplements to the General Statutes and the 2021 and 2023 *official*

General Statutes of Connecticut

Contract # JCLM20REG0015

procurement vehicle as something other than an Invitation to Bid, the terms "Solicitation" and "Bid," as used in this Contract, shall be read to mean "Request for Proposals," "Proposal" and "Proposer" or to mean such other terms as are consistent with the Solicitation in order to preserve the integrity of the statutory, regulatory and procedural distinctions among the various procurement vehicles and their corresponding principles.

3. Description of Goods or Services and Additional Terms and Conditions. The Contractor shall perform as set forth in Attachment A. For purposes of this Contract, to perform and the performance in Attachment A. is referred to as "Perform" and the "Performance."

4. Rejected Items; Abandonment.

(a) The Contractor may deliver, cause to be delivered, or, in any other way, bring or cause to be brought, to any CGA premises or other destination, Goods, as samples or otherwise, and other supplies, materials, equipment or other tangible personal property. The CGA may, by written notice and in accordance with the terms and conditions of the Contract, direct the Contractor to remove any or all such Goods (the "Rejected Goods") and any or all other supplies, materials, equipment or other tangible personal property (collectively, the "Contractor Property") from and out of the CGA premises and any other location which the CGA or State manages, leases or controls. The Contractor shall remove the Rejected Goods and the Contractor Property in accordance with the terms and conditions of the written notice. Failure to remove the Rejected Goods or the Contractor Property in accordance with the terms and conditions of the written notice shall mean, for itself and all Contractor Parties, that:

- (1) they have voluntarily, intentionally, unconditionally, unequivocally and absolutely abandoned and left unclaimed the Rejected Goods and Contractor Property and relinquished all ownership, title, licenses, rights, possession and interest of, in and to (collectively, "Title") the Rejected Goods and Contractor Property with the specific and express intent of (A) terminating all of their Title to the Rejected Goods and Contractor Property, (B) vesting Title to the Rejected Goods and Contractor Property in the State of Connecticut and (C) not ever reclaiming Title or any future rights of any type in and to the Rejected Goods and Contractor Property;
- (2) there is no ignorance, inadvertence or unawareness to mitigate against the intent to abandon the Rejected Goods or Contractor Property;
- (3) they vest authority, without any further act required on their part or the CGA's part, in the CGA and the State to use or dispose of the Rejected Goods and Contractor Property, in the CGA's sole discretion, as if the Rejected Goods and Contractor Property were the CGA's or State's own property and in accordance with law, without incurring any liability or obligation to the Contractor or any other party;
- (4) if the CGA or State incur any costs or expenses in connection with disposing of the Rejected Goods and Contractor Property, including, but not limited to, advertising, moving or storing the Rejected Goods and Contractor Property, auction and other activities, the CGA shall invoice the Contractor for all such costs and expenses and the Contractor shall reimburse the CGA no later than thirty (30) days after the date of invoice; and
- (5) they do remise, release and forever discharge the CGA and all State employees, departments, commissions, boards, bureaus, agencies, instrumentalities or political subdivisions and their respective successors, heirs, executors and assigns (collectively, the "State and Its Agents") of and from all Claims which they and their respective successors or assigns, jointly or severally, ever had, now have or will have against the CGA and the State and Its Agents arising from the use or disposition of the Rejected Goods and Contractor Property.

Contract Document

Contract Title: Preparation of the Indices to the 2022, and 2024

Supplements to the General Statutes and the 2021 and 2023 *official*

General Statutes of Connecticut

Contract # JCLM20REG0015

- (b) The Contractor shall secure from each Contractor Party, as appropriate, such document or instrument as necessary or appropriate as will vest in the Contractor plenary authority to bind the Contractor Party to the full extent necessary or appropriate to give full effect to all of the terms and conditions of this section. The Contractor shall provide, no later than fifteen (15) days after receiving a request from the CGA, such information as the CGA may require to evidence, in the CGA's sole determination, compliance with this section.
5. Order and Delivery. The Contract shall bind the Contractor to furnish and deliver the Goods or Services in accordance with Attachment A and at the prices set forth in Attachment B. Subject to the sections in this Contract concerning Force Majeure, Termination and Open Market Purchases, the Contract shall bind the CGA to order the Goods or Services from the Contractor, and to pay for the accepted Goods or Services in accordance with Attachment B.
6. Contract Amendments. No amendment to or modification or other alteration of the Contract shall be valid or binding upon the CGA unless made in writing, and signed by both parties.
7. Assignment
Contractor may, upon written notice to CGA, assign or transfer this contract or any rights and obligations hereunder either to an affiliate or to a third party successor, for all or substantially all of the business, stock or assets of Contractor, in each case, without the prior consent of the CGA.
8. Termination.
- (a) Notwithstanding any provision in this Contract, the CGA, through a duly authorized employee, may Terminate the Contract whenever the CGA makes a written determination that such Termination is in the best interests of the CGA. The CGA shall notify the Contractor in writing of Termination pursuant to this section, which notice shall specify the effective date of Termination and the extent to which the Contractor must complete its Performance under the Contract prior to such date.
- (b) Notwithstanding any provision in this Contract, the CGA, through a duly authorized employee, may, after making a written determination that the Contractor has breached the Contract, Terminate the Contract in accordance with the provisions in the Breach section of this Contract.
- (c) The CGA shall send the notice of Termination via certified mail, return receipt requested, to the Contractor at the most current address which the Contractor has furnished to the CGA for purposes of correspondence, or by hand delivery. Upon receiving the notice from the CGA, the Contractor shall immediately discontinue all services affected in accordance with the notice, undertake all commercially reasonable efforts to mitigate any losses or damages, and deliver to the CGA all Records. The Records are deemed to be the property of the CGA and the Contractor shall deliver them to the CGA no later than thirty (30) days after the Termination of the Contract or fifteen (15) days after the Contractor receives a written request from the CGA for the Records. The Contractor shall deliver those Records that exist in electronic, magnetic or other intangible form in a non-proprietary format, such as, but not limited to, ASCII or .TXT.
- (d) Upon receipt of a written notice of Termination from the CGA, the Contractor shall cease operations as the CGA directs in the notice, and take all actions that are necessary or appropriate, or that the CGA may reasonably direct, for the protection and preservation of the Goods and any other property. Except for any work which the CGA directs the Contractor to Perform in the notice prior to the effective date of Termination, and except as otherwise provided in the notice, the Contractor shall terminate or conclude all existing subcontracts and purchase orders and shall not enter into any further subcontracts, purchase orders or commitments.
- (e) The CGA shall, within forty-five (45) days after the effective date of Termination, reimburse the Contractor for its Performance rendered and accepted by the CGA in accordance with Attachment A, in addition to all actual and reasonable costs incurred after Termination in completing those portions of the Performance which the notice required the Contractor to complete. However, the

Contract Document

Contract Title: Preparation of the Indices to the 2022, and 2024

Supplements to the General Statutes and the 2021 and 2023 *official*
General Statutes of Connecticut

Contract # JCLM20REG0015

Contractor is not entitled to receive, and the CGA is not obligated to tender to the Contractor, any payments for anticipated or lost profits. Upon request by the CGA, the Contractor shall assign to the CGA, or any replacement contractor which the CGA designates, all subcontracts, purchase orders and other commitments, deliver to the CGA all Records and other information pertaining to its Performance, and remove from CGA premises, whether leased or owned, all of the Contractor's property, equipment, waste material and rubbish related to its Performance, all as the CGA may request.

- (f) For breach or violation of any of the provisions in the section concerning Representations and Warranties, the CGA may Terminate the Contract in accordance with its terms and revoke any consents to assignments given as if the assignments had never been requested or consented to, without liability to the Contractor or Contractor Parties or any third party.
- (g) Upon Termination of the Contract, all rights and obligations shall be null and void, so that no party shall have any further rights or obligations to any other party, except with respect to the sections which survive Termination. All representations, warranties, agreements and rights of the parties under the Contract shall survive such Termination to the extent not otherwise limited in the Contract and without each one of them having to be specifically mentioned in the Contract.
- (h) Termination of the Contract pursuant to this section shall not be deemed to be a breach of contract by the CGA.

9. Cost Modifications. The parties may agree to a reduction in the cost of the Contract at any time during which the Contract is in effect. Without intending to impose a limitation on the nature of the reduction, the reduction may be to hourly, staffing or unit costs, the total cost of the Contract or the reduction may take such other form as the CGA deems to be necessary or appropriate.

10. Breach. If either party breaches the Contract in any respect, the non-breaching party shall provide written notice of such breach to the breaching party and afford the breaching party an opportunity to cure the breach within ten (10) days from the date that the breaching party receives such notice. Any other time provided for in the notice shall trump such ten (10) days. Such right to cure period shall be extended if the non-breaching party is satisfied that the breaching party is making a good faith effort to cure but the nature of the breach is such that it cannot be cured within the right to cure period. The notice may include an effective Contract Termination date if the breach is not cured by the stated date and, unless otherwise modified by the non-breaching party in writing prior to the Termination date, no further action shall be required of any party to effect the Termination as of the stated date. If the notice does not set forth an effective Contract Termination date, then the non-breaching party may Terminate the Contract by giving the breaching party no less than twenty-four (24) hours' prior written notice. If the CGA believes that the Contractor has not performed according to the Contract, the CGA may withhold payment in whole or in part pending resolution of the Performance issue, provided that the CGA notifies the Contractor in writing prior to the date that the payment would have been due in accordance with Attachment B.

11. Waiver.

- (a) No waiver of any breach of the Contract shall be interpreted or deemed to be a waiver of any other or subsequent breach. All remedies afforded in the Contract shall be taken and construed as cumulative, that is, in addition to every other remedy provided in the Contract or at law or in equity.
- (b) A party's failure to insist on strict performance of any provision of the Contract shall only be deemed to be a waiver of rights and remedies concerning that specific instance of Performance and shall not be deemed to be a waiver of any subsequent rights, remedies or breach.

12. Open Market Purchases. Failure of the Contractor to Perform within the time specified in the Contract, or failure to replace rejected or substandard Goods or fulfill unperformed Services when so requested and as the Contract provides or allows, constitutes a breach of the Contract and as a

Contract Document

Contract Title: Preparation of the Indices to the 2022, and 2024

Supplements to the General Statutes and the 2021 and 2023 *official*

General Statutes of Connecticut

Contract # JCLM20REG0015

remedy for such breach, such failure shall constitute authority for the CGA, if it deems it to be necessary or appropriate in its sole discretion, to Terminate the Contract and/or to purchase on the open market, Goods or Services to replace those which have been rejected, not delivered, or not Performed. However, if the CGA deems it to be in the best interest of the CGA, the CGA may accept and use the Goods and/or Services delivered which are substandard in quality, subject to an adjustment in price to be negotiated by the CGA and the Contractor.

13. Purchase Orders.

- (a) The Contract itself is not an authorization for the Contractor to ship Goods or begin Performance in any way. The Contractor may begin Performance only after it has received a duly issued purchase order against the Contract for Performance.
- (b) The CGA shall issue a purchase order against the Contract directly to the Contractor and to no other party.
- (c) All purchase orders shall be in written or electronic form, bear the Contract number (if any), be signed and comply with all other State and CGA requirements, particularly the CGA's requirements concerning procurement. Purchase orders issued in compliance with these requirements shall be deemed to be duly issued.
- (d) A Contractor making delivery without a duly issued purchase order in accordance with this section does so at the Contractor's own risk.
- (e) The CGA may, in its sole discretion, deliver to the Contractor any or all duly issued purchase orders via electronic means only, such that the CGA shall not have any additional obligation to deliver to the Contractor a "hard copy" of the purchase order.

14. Indemnification.

- (a) The Contractor shall indemnify, defend and hold harmless the CGA and its officers, representatives, agents, servants, employees, successors and assigns from and against any and all (1) Claims arising, directly or indirectly, in connection with the Contract, including the acts of commission or omission (collectively, the "Acts") of the Contractor or Contractor Parties; and (2) liabilities, damages, losses, costs and expenses, including but not limited to, attorneys' and other professionals' fees, arising, directly or indirectly, in connection with Claims, Acts or the Contract. The Contractor shall use counsel reasonably acceptable to the CGA in carrying out its obligations under this section. The Contractor's obligations under this section to indemnify, defend and hold harmless against Claims includes Claims concerning confidentiality of any part of or all of the Contractor's bid, proposal or any Records, any intellectual property rights, other proprietary rights of any person or entity, copyrighted or uncopyrighted compositions, secret processes, patented or unpatented inventions, articles or appliances furnished or used in the Performance.
- (b) The Contractor shall not be responsible for indemnifying or holding the CGA harmless from any liability arising due to the negligence of the CGA or any other person or entity acting under the direct control or supervision of the CGA.
- (c) The Contractor shall reimburse the CGA for any and all damages to the real or personal property of the CGA caused by the Acts of the Contractor or any Contractor Parties. The CGA shall give the Contractor reasonable notice of any such Claims.
- (d) The Contractor's duties under this section shall remain fully in effect and binding in accordance with the terms and conditions of the Contract, without being lessened or compromised in any way, even where the Contractor is alleged or is found to have merely contributed in part to the Acts giving rise to the Claims and/or where the CGA is alleged or is found to have contributed to the Acts giving rise to the Claims.

Contract Document

Contract Title: Preparation of the Indices to the 2022, and 2024

Supplements to the General Statutes and the 2021 and 2023 *official*

General Statutes of Connecticut

Contract # JCLM20REG0015

(e) The Contractor shall carry and maintain at all times during the term of the Contract, and during the time that any provisions survive the term of the Contract, sufficient general liability insurance to satisfy its obligations under this Contract. The Contractor shall cause the CGA to be named as an additional insured on the policy and shall provide (1) a certificate of insurance, (2) the declaration page and (3) the additional insured endorsement to the policy to the CGA prior to the Effective Date of the Contract evidencing that the CGA is an additional insured. The Contractor shall not begin Performance until the delivery of these three documents to the CGA. The CGA shall be entitled to recover under the insurance policy even if a body of competent jurisdiction determines that the CGA or the CGA is contributorily negligent.

(f) This section shall survive the Termination of the Contract and shall not be limited by reason of any insurance coverage.

15. Forum and Choice of Law.

The parties deem the Contract to have been made in the City of Hartford, State of Connecticut. Both parties agree that it is fair and reasonable for the validity and construction of the Contract to be, and it shall be, governed by the laws and court decisions of the State of Connecticut, without giving effect to its principles of conflicts of laws. To the extent that any immunities provided by Federal law or the laws of the State of Connecticut do not bar an action against the State, and to the extent that these courts are courts of competent jurisdiction, for the purpose of venue, the complaint shall be made returnable to the Judicial District of Hartford only or shall be brought in the United States District Court for the District of Connecticut only, and shall not be transferred to any other court, provided, however, that nothing here constitutes a waiver or compromise of the sovereign immunity of the State of Connecticut. The Contractor waives any objection which it may now have or will have to the laying of venue of any Claims in any forum and further irrevocably submits to such jurisdiction in any suit, action or proceeding.

16. Contractor Guaranties. The Contractor shall:

- (a) Perform fully under the Contract;
- (b) Guarantee the Goods or Services against defective material or workmanship and to repair any damage or marring occasioned in transit or, at the CGA's option, replace them;
- (c) Furnish adequate protection from damage for all work and to repair damage of any kind, for which its workers are responsible, to the premises, Goods, the Contractor's work or that of Contractor Parties;
- (d) With respect to the provision of Services, pay for all permits, licenses and fees and give all required or appropriate notices;
- (e) Adhere to all Contractual provisions ensuring the confidentiality of Records that the Contractor has access to and are exempt from disclosure under the State's Freedom of Information Act or other applicable law; and
- (f) Neither disclaim, exclude nor modify the implied warranties of fitness for a particular purpose or of merchantability.

17. Implied Warranties. The CGA does not disclaim, exclude or modify the implied warranty of fitness for a particular purpose or the warranty of merchantability.

18. Goods, Standards and Appurtenances. Any Goods delivered must be standard new Goods, latest model, except as otherwise specifically stated in the Contract. Remanufactured, refurbished or reconditioned equipment may be accepted but only to the extent allowed under the Contract. Where the Contract does not specifically list or describe any parts or nominal appurtenances of equipment for the Goods, it shall be understood that the Contractor shall deliver such equipment and appurtenances as are usually provided with the manufacturer's stock model.

Contract Document

Contract Title: Preparation of the Indices to the 2022, and 2024

Supplements to the General Statutes and the 2021 and 2023 *official*

General Statutes of Connecticut

Contract # JCLM20REG0015

19. Delivery.

- (a) Delivery shall be made as ordered and in accordance with the Contract. Unless otherwise specified in the Contract, delivery shall be to a loading dock or receiving platform. The Contractor or Contractor's shipping designee shall be responsible for removal of Goods from the carrier and placement on the CGA loading dock or receiving platform. The receiving personnel of the CGA are not required to assist in this process. The decision of the CGA as to reasonable compliance with delivery terms shall be final and binding. The burden of proof of proper receipt of the order shall rest with the Contractor.
- (b) In order for the time of delivery to be extended, the CGA must first approve a request for extension from the time specified in the Contract, such extension applying only to the particular item or shipment.
- (c) Goods shall be securely and properly packed for shipment, according to accepted standard commercial practice, without extra charge for packing cases, baling or sacks. The containers shall remain the property of the CGA unless otherwise stated in the Contract.
- (d) All risk of loss and damage to the Goods transfers to the CGA upon Title vesting in the CGA.

20. Goods Inspection. The CGA shall determine the manner and prescribe the inspection of all Goods and the tests of all samples submitted to determine whether they comply with all of the specifications in the Contract.

21. Emergency Standby for Goods and/or Services. If any Federal or State official, having authority to do so, declares an emergency or the occurrence of a natural disaster within the State of Connecticut, the CGA may request the Goods and Services on an expedited and prioritized basis. Upon receipt of such a request the Contractor shall make all necessary and appropriate commercially reasonable efforts to reallocate its staffing and other resources in order to give primary preference to Performing this Contract ahead of or prior to fulfilling, in whole or in part, any other contractual obligations that the Contractor may have. The Contractor is not obligated to make those efforts to Perform on an expedited and prioritized basis in accordance with this paragraph if doing so will make the Contractor materially breach any other contractual obligations that the Contractor may have. Contractor shall acknowledge receipt of any request made pursuant to this paragraph within 2 hours from the time that the Contractor receives it via purchase order or through a request to make an expedited or prioritized purchase through the State of Connecticut Purchasing Card (MasterCard) Program (the "P-Card Program"). If the Contractor fails to acknowledge receipt within 2 hours, confirm its obligation to Perform or actually Perform, as set forth in the purchase order or through the P-Card Program, then the CGA may procure the Performance from another source without further notice to Contractor and without creating any right of recourse at law or in equity against the CGA.

22. Setoff. In addition to all other remedies available hereunder, the CGA, in its sole discretion, may setoff (1) any costs or expenses that the CGA incurs resulting from the Contractor's unexcused nonperformance under the Contract and under any other agreement or arrangement that the Contractor has with the CGA and (2) any other amounts that are due or may become due from the CGA to the Contractor, against amounts otherwise due or that may become due to the Contractor under the Contract, or under any other agreement or arrangement that the Contractor has with the CGA. The CGA's right of setoff shall not be deemed to be the CGA's exclusive remedy for the Contractor's or Contractor Parties' breach of the Contract, all of which shall survive any setoffs by the CGA.

23. Force Majeure. The CGA and the Contractor shall not be excused from their obligation to Perform in accordance with the Contract except in the case of Force Majeure events and as otherwise provided for in the Contract. In the case of any such exception, the nonperforming party shall give immediate

Contract Document

Contract Title: Preparation of the Indices to the 2022, and 2024

Supplements to the General Statutes and the 2021 and 2023 *official*

General Statutes of Connecticut

Contract # JCLM20REG0015

written notice to the other, explaining the cause and probable duration of any such nonperformance.

24. Advertising. The Contractor shall not refer to sales to the CGA for advertising or promotional purposes, including, but not limited to, posting any material or data on the Internet, without the CGA's prior written approval.
25. Americans With Disabilities Act. The Contractor shall be and remain in compliance with the Americans with Disabilities Act of 1990 ("Act"), to the extent applicable, during the term of the Contract. The CGA may Terminate the Contract if the Contractor fails to comply with the Act.
26. Representations and Warranties. The Contractor, represents and warrants to CGA for itself and Contractor Parties, that:
- (a) if they are entities, they are duly and validly existing under the laws of their respective states of organization and authorized to conduct business in the State of Connecticut in the manner contemplated by the Contract. Further, as appropriate, they have taken all necessary action to authorize the execution, delivery and Performance of the Contract and have the power and authority to execute, deliver and Perform their obligations under the Contract;
 - (b) they will comply with all applicable State and Federal laws and municipal ordinances in satisfying their obligations to the CGA under and pursuant to the Contract, including, but not limited to (1) Connecticut General Statutes Title 1, Chapter 10, concerning the State's Codes of Ethics and (2) Relevant provisions of the Connecticut General Statutes concerning State purchasing, including, but not limited to, Title 4a and Section 22a-194a concerning the use of polystyrene foam;
 - (c) the execution, delivery and Performance of the Contract will not violate, be in conflict with, result in a breach of or constitute (with or without due notice and/or lapse of time) a default under any of the following, as applicable: (1) any provision of law; (2) any order of any court or the CGA; or (3) any indenture, agreement, document or other instrument to which it is a party or by which it may be bound;
 - (d) they are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any governmental entity;
 - (e) as applicable, they have not, within the three years preceding the Contract, in any of their current or former jobs, been convicted of, or had a civil judgment rendered against them or against any person who would Perform under the Contract, for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a transaction or contract with any governmental entity. This includes, but is not limited to, violation of Federal or state antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
 - (f) they are not presently indicted for or otherwise criminally or civilly charged by any governmental entity with commission of any of the offenses listed above;
 - (g) they have not within the three years preceding the Contract had one or more contracts with any governmental entity Terminated;
 - (h) they have not employed or retained any entity or person, other than a bona fide employee working solely for them, to solicit or secure the Contract and that they have not paid or agreed to pay any entity or person, other than a bona fide employee working solely for them, any fee, commission, percentage, brokerage fee, gifts, or any other consideration contingent upon or resulting from the award or making of the Contract or any assignments made in accordance with the terms of the Contract;
 - (i) to the best of their knowledge, there are no Claims involving the Contractor or Contractor Parties that might reasonably be expected to materially adversely affect their businesses, operations,

Contract Document

Contract Title: Preparation of the Indices to the 2022, and 2024

Supplements to the General Statutes and the 2021 and 2023 *official*
General Statutes of Connecticut

Contract # JCLM20REG0015

assets, properties, financial stability, business prospects or ability to Perform fully under the Contract;

- (j) they shall disclose, to the best of their knowledge, to the CGA in writing any Claims involving them that might reasonably be expected to materially adversely affect their businesses, operations, assets, properties, financial stability, business prospects or ability to Perform fully under the Contract, no later than ten (10) Days after becoming aware or after they should have become aware of any such Claims. For purposes of the Contractor's obligation to disclose any Claims to the CGA, the ten (10) Days in the section of this Contract concerning Disclosure of Contractor Parties Litigation shall run consecutively with the ten (10) Days provided for in this representation and warranty;
- (k) their participation in the Solicitation process is not a conflict of interest or a breach of ethics under the provisions of Title 1, Chapter 10 of the Connecticut General Statutes concerning the State's Code of Ethics;
- (l) the Bid was not made in connection or concert with any other person or entity, including any affiliate (as defined in the Tangible Personal Property section of this Contract) of the Contractor, submitting a bid for the same Goods or Services, and is in all respects fair and without collusion or fraud;
- (m) they are able to Perform under the Contract using their own resources or the resources of a party who is not a Contractor;
- (n) the Contractor shall obtain in a written contract all of the representations and warranties in this section from any Contractor Parties and to require that provision to be included in any contracts and purchase orders with Contractor Parties;
- (o) they have paid all applicable workers' compensation second injury fund assessments concerning all previous work done in Connecticut;
- (p) they have a record of compliance with Occupational Health and Safety Administration regulations without any unabated, willful or serious violations;
- (q) they owe no unemployment compensation contributions;
- (R) they are not delinquent in the payment of any taxes owed, or, that they have filed a sales tax security bond, and they have, if and as applicable, filed for motor carrier road tax stickers and have paid all outstanding road taxes;
- (S) all of their vehicles have current registrations and, unless such vehicles are no longer in service, they shall not allow any such registrations to lapse;
- (t) each Contractor Party has vested in the Contractor plenary authority to bind the Contractor Parties to the full extent necessary or appropriate to ensure full compliance with and Performance in accordance with all of the terms and conditions of the Contract and that all appropriate parties shall also provide, no later than fifteen (15) days after receiving a request from the CGA, such information as the CGA may require to evidence, in the CGA's sole determination, compliance with this section;
- (u) except to the extent modified or abrogated in the Contract, all Title shall pass to the CGA upon complete installation, testing and acceptance of the Goods or Services and payment by the CGA;
- (v) if either party Terminates the Contract, for any reason, they shall relinquish to the CGA all Title to the Goods delivered, accepted and paid for (except to the extent any invoiced amount is disputed) by the CGA;
- (w) with regard to third party products provided with the Goods, they shall transfer all licenses which they are permitted to transfer in accordance with the applicable third-party license;
- (x) they shall not copyright, register, distribute or claim any rights in or to the Goods after the Effective Date of the Contract without the CGA's prior written consent;
- (y) they either own or have the authority to use all Title of and to the Goods, and that such Title is not the subject of any encumbrances, liens or claims of ownership by any third party;
- (z) if they procure any Goods, they shall sub-license such Goods and that the CGA shall be afforded the full benefits of any manufacturer or subcontractor licenses for the use of the Goods; and

Contract Document

Contract Title: Preparation of the Indices to the 2022, and 2024

Supplements to the General Statutes and the 2021 and 2023 *official*

General Statutes of Connecticut

Contract # JCLM20REG0015

(aa) they shall assign or otherwise transfer to the CGA, or afford the CGA the full benefits of any manufacturer's warranty for the Goods, to the extent that such warranties are assignable or otherwise transferable to the CGA.

27. Representations and Warranties Concerning Motor Vehicles. If in the course of Performance or in any other way related to the Contract the Contractor at any time uses or operates "motor vehicles," as that term is defined by Conn. Gen. Stat. §14-1 (including, but not limited to such services as snow plowing, sanding, hauling or delivery of materials, freight or merchandise, or the transportation of passengers), the Contractor, represents and warrants for itself and the Contractor Parties, that:

- (a) It is the owner of record or lessee of record of each such motor vehicle used in the Performance of the Contract, and each such motor vehicle is duly registered with the Connecticut Department of Motor Vehicles ("ConnDMV") in accordance with the provisions of Chapter 246 of the Connecticut General Statutes. Each such registration shall be in valid status, and shall not be expired, suspended or revoked by ConnDMV, for any reason or cause. If such motor vehicle is not registered with ConnDMV, then it shall be duly registered with another state or commonwealth in accordance with such other state's or commonwealth's applicable statutes. Each such registration shall be in valid status, and shall not be expired, suspended or revoked by such other state or commonwealth for any reason or cause.
- (b) Each such motor vehicle shall be fully insured in accordance with the provisions of Sections 14-12b, 14-112 and 38a-371 of the Connecticut General Statutes, as amended, in the amounts required by said sections or in such higher amounts as have been specified by ConnDMV as a condition for the award of the Contract, or in accordance with all substantially similar provisions imposed by the law of the jurisdiction where the motor vehicle is registered.
- (c) Each Contractor Party who uses or operates a motor vehicle at any time in the Performance of the Contract shall have and maintain a motor vehicle operator's license or commercial driver's license of the appropriate class for the motor vehicle being used or operated. Each such license shall bear the endorsement or endorsements required by the provisions of Section 14-36a of the Connecticut General Statutes, as amended, to operate such motor vehicle, or required by substantially similar provisions imposed by the law of another jurisdiction in which the operator is licensed to operate such motor vehicle. The license shall be in valid status, and shall not be expired, suspended or revoked by ConnDMV or such other jurisdiction for any reason or cause.
- (d) Each motor vehicle shall be in full compliance with all of the terms and conditions of all provisions of the Connecticut General Statutes and regulations, or those of the jurisdiction where the motor vehicle is registered, pertaining to the mechanical condition, equipment, marking and operation of motor vehicles of such type, class and weight, including, but not limited to, requirements for motor vehicles having a gross vehicle weight rating of 18,000 pounds or more or motor vehicles otherwise described by the provisions of Conn. Gen. Stat. § 14-163c(a) and all applicable provisions of the Federal Motor Carrier Safety Regulations, as set forth in Title 49, Parts 382 to 399, inclusive, of the Code of Federal Regulations.

28. Disclosure of Contractor Parties Litigation. The Contractor shall require that all Contractor Parties, as appropriate, disclose to the Contractor, to the best of their knowledge, any Claims involving the Contractor Parties that might reasonably be expected to materially adversely affect their businesses, operations, assets, properties, financial stability, business prospects or ability to Perform fully under the Contract, no later than ten (10) Days after becoming aware or after they should have become aware of any such Claims. Disclosure shall be in writing.

29. Entirety of Contract. The Contract is the entire agreement between the parties with respect to its subject matter, and supersedes all prior agreements, proposals, offers, counteroffers and understandings of the parties, whether written or oral. The Contract has been entered into after full

Contract Document

Contract Title: Preparation of the Indices to the 2022, and 2024

Supplements to the General Statutes and the 2021 and 2023 *official*

General Statutes of Connecticut

Contract # JCLM20REG0015

investigation, neither party relying upon any statement or representation by the other unless such statement or representation is specifically embodied in the Contract.

30. Attachments. All attachments referred to in and attached to this Contract are incorporated in this Contract by such reference and shall be deemed to be a part of it as if they had been fully set forth in it.

31. Non-discrimination.

(a) For purposes of this Section, the following terms are defined as follows:

(1) "Commission" means the Connecticut Commission on Human Rights and Opportunities;

(2) "Contract" and "contract" include any extension or modification of the Contract or contract. "Contract" and "contract" do not include a contract where each contractor is (A) a political subdivision of the state, including, but not limited to, a municipality, (B) a quasi-public agency, as defined in Conn. Gen. Stat. Section 1-120, (C) any other state, including, but not limited to, any other state, as defined in Conn. Gen. Stat. Section 1-267, including, but not limited to, a federally recognized Indian tribe, (D) the federal government, (E) a foreign government, or (F) an agency of a subdivision, the state or another government agency described in the immediately preceding enumerated items (A), (B), (C), (D) or (E);

(3) "Contractor" and "contractor" include any successors or assigns of the Contractor or contractor;

(4) "Gender identity or expression" means a person's gender-related identity, appearance or behavior, whether or not that gender-related identity, appearance or behavior is different from that traditionally associated with the person's physiology or assigned sex at birth, which gender-related identity can be shown by providing evidence including, but not limited to, medical history, care or treatment of the gender-related identity, consistent and uniform assertion of the gender-related identity or any other evidence that the gender-related identity is sincerely held, part of a person's core identity or not being asserted for an improper purpose.

(5) "good faith" means that degree of diligence which a reasonable person would exercise in the performance of legal duties and obligations;

(6) "good faith efforts" shall include, but not be limited to, those reasonable initial efforts necessary to comply with statutory or regulatory requirements and additional or substituted efforts when it is determined that such initial efforts will not be sufficient to comply with such requirements;

(7) "marital status" means being single, married as recognized by the state of Connecticut, widowed, separated or divorced;

(8) "mental disability" means one or more mental disorders, as defined in the most recent edition of the American Psychiatric Association's "Diagnostic and Statistical Manual of Mental Disorders", or a record of or regarding a person as having one or more such disorders;

(9) "minority business enterprise" means a minority business enterprise, as defined in Connecticut General Statutes § 4a-60g.

(10) "public works contract" means any agreement between any individual, firm or corporation and the State or any political subdivision of the State other than a municipality for construction, rehabilitation, conversion, extension, demolition or repair of a public building, highway or other changes or improvements in real property, or which is financed in whole or in part by the State, including, but not limited to, matching expenditures, grants, loans, insurance or guarantees.

Contract Document

Contract Title: Preparation of the Indices to the 2022, and 2024

Supplements to the General Statutes and the 2021 and 2023 *official*

General Statutes of Connecticut

Contract # JCLM20REG0015

- (b) (1)The Contractor agrees and warrants that in the performance of the Contract such Contractor will not discriminate or permit discrimination against any person or group of persons on the grounds of race, color, religious creed, age, marital status, national origin, ancestry, sex, gender identity or expression, intellectual disability, mental disability or physical disability, including, but not limited to, blindness, unless it is shown by such Contractor that such disability prevents performance of the work involved, in any manner prohibited by the laws of the United States or of the State of Connecticut; and the Contractor further agrees to take affirmative action to insure that applicants with job-related qualifications are employed and that employees are treated when employed without regard to their race, color, religious creed, age, marital status, national origin, ancestry, sex, gender identity or expression, intellectual disability, mental disability or physical disability, including, but not limited to, blindness, unless it is shown by the Contractor that such disability prevents performance of the work involved; (2) the Contractor agrees, in all solicitations or advertisements for employees placed by or on behalf of the Contractor, to state that it is an "affirmative action-equal opportunity employer" in accordance with regulations adopted by the Commission; (3) the Contractor agrees to provide each labor union or representative of workers with which the Contractor has a collective bargaining agreement or other contract or understanding and each vendor with which the Contractor has a contract or understanding, a notice to be provided by the Commission, advising the labor union or workers' representative of the Contractor's commitments under this section and to post copies of the notice in conspicuous places available to employees and applicants for employment; (4) the Contractor agrees to comply with each provision of this Section and Connecticut General Statutes §§ 46a-68e and 46a-68f and with each regulation or relevant order issued by said Commission pursuant to Connecticut General Statutes §§ 46a-56, 46a-68e and 46a-68f; and (5) the Contractor agrees to provide the Commission on Human Rights and Opportunities with such information requested by the Commission, and permit access to pertinent books, records and accounts, concerning the employment practices and procedures of the Contractor as relate to the provisions of this Section and Connecticut General Statutes § 46a-56. If the contract is a public works contract, the Contractor agrees and warrants that he will make good faith efforts to employ minority business enterprises as subcontractors and suppliers of materials on such public works projects.
- (c) Determination of the Contractor's good faith efforts shall include, but shall not be limited to, the following factors: The Contractor's employment and subcontracting policies, patterns and practices; affirmative advertising, recruitment and training; technical assistance activities and such other reasonable activities or efforts as the Commission may prescribe that are designed to ensure the participation of minority business enterprises in public works projects.
- (d) The Contractor shall develop and maintain adequate documentation, in a manner prescribed by the Commission, of its good faith efforts.
- (e) The Contractor shall include the provisions of subsection (b) of this Section in every subcontract or purchase order entered into in order to fulfill any obligation of a contract with the State and such provisions shall be binding on a subcontractor, vendor or manufacturer unless exempted by regulations or orders of the Commission. The Contractor shall take such action with respect to any such subcontract or purchase order as the Commission may direct as a means of enforcing such provisions including sanctions for noncompliance in accordance with Connecticut General Statutes §46a-56; provided if such Contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the Commission, the Contractor may request the State of Connecticut to enter into any such litigation or negotiation prior thereto to protect the interests of the State and the State may so enter.

Contract Document

Contract Title: Preparation of the Indices to the 2022, and 2024

Supplements to the General Statutes and the 2021 and 2023 *official*

General Statutes of Connecticut

Contract # JCLM20REG0015

- (f) The Contractor agrees to comply with the regulations referred to in this Section as they exist on the date of this Contract and as they may be adopted or amended from time to time during the term of this Contract and any amendments thereto.
- (g) (1) The Contractor agrees and warrants that in the performance of the Contract such Contractor will not discriminate or permit discrimination against any person or group of persons on the grounds of sexual orientation, in any manner prohibited by the laws of the United States or the State of Connecticut, and that employees are treated when employed without regard to their sexual orientation; (2) the Contractor agrees to provide each labor union or representative of workers with which such Contractor has a collective bargaining agreement or other contract or understanding and each vendor with which such Contractor has a contract or understanding, a notice to be provided by the Commission on Human Rights and Opportunities advising the labor union or workers' representative of the Contractor's commitments under this section, and to post copies of the notice in conspicuous places available to employees and applicants for employment; (3) the Contractor agrees to comply with each provision of this section and with each regulation or relevant order issued by said Commission pursuant to Connecticut General Statutes § 46a-56; and (4) the Contractor agrees to provide the Commission on Human Rights and Opportunities with such information requested by the Commission, and permit access to pertinent books, records and accounts, concerning the employment practices and procedures of the Contractor which relate to the provisions of this Section and Connecticut General Statutes § 46a-56.
- (h) The Contractor shall include the provisions of the foregoing paragraph in every subcontract or purchase order entered into in order to fulfill any obligation of a contract with the State and such provisions shall be binding on a subcontractor, vendor or manufacturer unless exempted by regulations or orders of the Commission. The Contractor shall take such action with respect to any such subcontract or purchase order as the Commission may direct as a means of enforcing such provisions including sanctions for noncompliance in accordance with Connecticut General Statutes § 46a-56; provided, if such Contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the Commission, the Contractor may request the State of Connecticut to enter into any such litigation or negotiation prior thereto to protect the interests of the State and the State may so enter.

32. Tangible Personal Property.

- (a) The Contractor on its behalf and on behalf of its Affiliates, as defined below, shall comply with the provisions of Conn. Gen. Stat. §12-411b, as follows:
 - (1) For the term of the Contract, the Contractor and its Affiliates shall collect and remit to the State of Connecticut, Department of Revenue Services, any Connecticut use tax due under the provisions of Chapter 219 of the Connecticut General Statutes for items of tangible personal property sold by the Contractor or by any of its Affiliates in the same manner as if the Contractor and such Affiliates were engaged in the business of selling tangible personal property for use in Connecticut and had sufficient nexus under the provisions of Chapter 219 to be required to collect Connecticut use tax;
 - (2) A customer's payment of a use tax to the Contractor or its Affiliates relieves the customer of liability for the use tax;
 - (3) The Contractor and its Affiliates shall remit all use taxes they collect from customers on or before the due date specified in the Contract, which may not be later than the last day of the month next succeeding the end of a calendar quarter or other tax collection period during which the tax was collected;
 - (4) The Contractor and its Affiliates are not liable for use tax billed by them but not paid to them by a customer; and

Contract Document

Contract Title: Preparation of the Indices to the 2022, and 2024

Supplements to the General Statutes and the 2021 and 2023 *official*

General Statutes of Connecticut

Contract # JCLM20REG0015

- (5) Any Contractor or Affiliate who fails to remit use taxes collected on behalf of its customers by the due date specified in the Contract shall be subject to the interest and penalties provided for persons required to collect sales tax under chapter 219 of the general statutes.
- (b) For purposes of this section of the Contract, the word "Affiliate" means any person, as defined in section 12-1 of the general statutes, that controls, is controlled by, or is under common control with another person. A person controls another person if the person owns, directly or indirectly, more than ten per cent of the voting securities of the other person. The word "voting security" means a security that confers upon the holder the right to vote for the election of members of the board of directors or similar governing body of the business, or that is convertible into, or entitles the holder to receive, upon its exercise, a security that confers such a right to vote. "Voting security" includes a general partnership interest.
- (c) The Contractor represents and warrants that each of its Affiliates has vested in the Contractor plenary authority to so bind the Affiliates in any agreement with the State of Connecticut. The Contractor on its own behalf and on behalf of its Affiliates shall also provide, no later than 30 days after receiving a request by the State's contracting authority, such information as the State may require to ensure, in the State's sole determination, compliance with the provisions of Chapter 219 of the Connecticut General Statutes, including, but not limited to, §12-411b.
33. Whistleblowing. This Contract may be subject to the provisions of Section 4-61dd of the Connecticut General Statutes. In accordance with this statute, if an officer, employee or appointing authority of the Contractor takes or threatens to take any personnel action against any employee of the Contractor in retaliation for such employee's disclosure of information to any employee of the contracting state or quasi-public agency or the Auditors of Public Accounts or the Attorney General under the provisions of subsection (a) of such statute, the Contractor shall be liable for a civil penalty of not more than five thousand dollars for each offense, up to a maximum of twenty per cent of the value of this Contract. Each violation shall be a separate and distinct offense and in the case of a continuing violation, each calendar day's continuance of the violation shall be deemed to be a separate and distinct offense. The CGA may request that the Attorney General bring a civil action in the Superior Court for the Judicial District of Hartford to seek imposition and recovery of such civil penalty. In accordance with subsection (i) of such statute, each large state contractor, as defined in the statute, shall post a notice of the provisions of the statute relating to large state contractors in a conspicuous place which is readily available for viewing by the employees of the Contractor.
34. Insurance. Before commencing Performance, the Contractor shall obtain and maintain at its own cost and expense for the duration of the Contract, the following insurance as described in (a) through (d) below. Contractor shall assume any and all deductibles in the described insurance policies. The Contractor's insurers shall have no right of recovery or subrogation against the State and the described Contractor's insurance shall be primary coverage. Any failure to comply with the claim reporting provisions of the policy shall not affect coverage provided to the State.
- (a) **Commercial General Liability**: \$1,000,000 combined single limit per occurrence for bodily injury, personal injury and property damage. Coverage shall include, Premises and Operations, Independent Contractors, Products and Completed Operations, Contractual Liability and Broad Form Property Damage coverage. If a general aggregate is used, the general aggregate limit shall apply separately to the project or the general aggregate limit shall be twice the occurrence limit.
- (b) **Automobile Liability**: \$1,000,000 combined single limit per accident for bodily injury. Coverage extends to owned, hired and non-owned automobiles. If the vendor/contractor does not own an automobile, but one is used in the execution of the contract, then only hired and non-owned

Contract Document

Contract Title: Preparation of the Indices to the 2022, and 2024

Supplements to the General Statutes and the 2021 and 2023 *official*

General Statutes of Connecticut

Contract # JCLM20REG0015

coverage is required. If a vehicle is not used in the execution of the contract then automobile coverage is not required.

(c) **Professional Liability:** \$1,000,000 limit of liability.

(d) **Workers' Compensation and Employers Liability:** Statutory coverage in compliance with the Compensation laws of the State of Connecticut. Coverage shall include Employer's Liability with minimum limits of \$100,000 each accident, \$500,000 Disease – Policy limit, \$100,000 each employee.

35. Headings. The headings given to the sections in the Contract are inserted only for convenience and are in no way to be construed as part of the Contract or as a limitation of the scope of the particular section to which the heading refers.

36. Number and Gender. Whenever the context so requires, the plural or singular shall include each other and the use of any gender shall include all genders.

37. Parties. To the extent that any Contractor Party is to participate or Perform in any way, directly or indirectly in connection with the Contract, any reference in the Contract to "Contractor" shall also be deemed to include "Contractor Parties," as if such reference had originally specifically included "Contractor Parties" since it is the parties' intent for the terms "Contractor Parties" to be vested with the same respective rights and obligations as the term "Contractor."

38. Contractor Changes. The Contractor shall notify the CGA in writing no later than ten (10) Days after the effective date of any change in:

(a) its certificate of incorporation or other organizational document;

(b) more than a controlling interest in the ownership of the Contractor; or

(c) the individual(s) in charge of the Performance.

This change shall not relieve the Contractor of any responsibility for the accuracy and completeness of the Performance. The CGA, after receiving written notice by the Contractor of any such change, may require such agreements, releases and other instruments evidencing, to the CGA's satisfaction, that any individuals retiring or otherwise separating from the Contractor have been compensated in full or that provision has been made for compensation in full, for all work performed under terms of the Contract. The Contractor shall deliver such documents to the CGA in accordance with the terms of the CGA's written request. The CGA may also require, and the Contractor shall deliver, a financial statement showing that solvency of the Contractor is maintained. The death of any Contractor Party, as applicable, shall not release the Contractor from the obligation to Perform under the Contract; the surviving Contractor Parties, as appropriate, must continue to Perform under the Contract until Performance is fully completed.

39. Further Assurances. The parties shall provide such information, execute and deliver any instruments and documents and take such other actions as may be necessary or reasonably requested by the other party which are not inconsistent with the provisions of this Contract and which do not involve the vesting of rights or assumption of obligations other than those provided for in the Contract, in order to give full effect to the Contract and to carry out the intent of the Contract.

40. Audit and Inspection of Plants, Places of Business and Records.

Contract Document

Contract Title: Preparation of the Indices to the 2022, and 2024

Supplements to the General Statutes and the 2021 and 2023 *official*
General Statutes of Connecticut

Contract # JCLM20REG0015

- (a) The State and its agents, including, but not limited to, the Connecticut Auditors of Public Accounts, Attorney General and State's Attorney and their respective agents, may, at reasonable hours, inspect and examine all of the parts of the Contractor's and Contractor Parties' plants and places of business which, in any way, are related to, or involved in, the performance of this Contract.
- (b) The Contractor shall maintain, and shall require each of the Contractor Parties to maintain, accurate and complete Records. The Contractor shall make all of its and the Contractor Parties' Records available at all reasonable hours for audit and inspection by the CGA, the State and its agents.
- (c) The CGA or State shall make all requests for any audit or inspection in writing and shall provide the Contractor with at least twenty-four (24) hours' notice prior to the requested audit and inspection date. If the CGA or State suspects fraud or other abuse, or in the event of an emergency, the CGA or State is not obligated to provide any prior notice.
- (d) All audits and inspections shall be at the CGA or the State's expense.
- (e) The Contractor shall keep and preserve or cause to be kept and preserved all of its and the Contractor Parties' Records until three (3) years after the latter of (i) final payment under this Contract, or (ii) the expiration or earlier termination of this Contract, as the same may be modified for any reason. The CGA or State may request an audit or inspection at any time during this period. If any Claim or audit is started before the expiration of this period, the Contractor shall retain or cause to be retained all Records until all Claims or audit findings have been resolved.
- (f) The Contractor shall cooperate fully with the CGA or State and its agents in connection with an audit or inspection. Following any audit or inspection, the CGA or State may conduct and the Contractor shall cooperate with an exit conference.
- (g) The Contractor shall incorporate this entire Section verbatim into any contract or other agreement that it enters into with any Contractor Party.

41. Background Checks. The CGA may require that the Contractor and Contractor Parties undergo criminal background checks as provided for in the CGA procedures for background checks. The Contractor and Contractor Parties shall cooperate fully as necessary or reasonably requested with the CGA and its agents in connection with such background checks.

42. Continued Performance. The Contractor and Contractor Parties shall continue to Perform their obligations under the Contract while any dispute concerning the Contract is being resolved.

43. Working and Labor Synergies. The Contractor shall be responsible for maintaining a tranquil working relationship between the Contractor work force, the Contractor Parties and their work force, CGA employees, and any other contractors present at the work site. The Contractor shall quickly resolve all labor disputes which result from the Contractor's or Contractor Parties' presence at the work site, or other action under their control. Labor disputes shall not be deemed to be sufficient cause to allow the Contractor to make any claim for additional compensation for cost, expenses or any other loss or damage, nor shall those disputes be deemed to be sufficient reason to relieve the Contractor from any of its obligations under the Contract.

44. Contractor Responsibility.

- (a) The Contractor shall be responsible for the entire Performance under the Contract regardless of whether the Contractor itself performs. The Contractor shall be the sole point of contact concerning the management of the Contract, including Performance and payment issues. The Contractor is solely and completely responsible for adherence by the Contractor Parties to all applicable provisions of the Contract.
- (b) The Contractor shall exercise all reasonable care to avoid damage to the CGA property or to property being made ready for the CGA's use, and to all property adjacent to any work site. The Contractor shall promptly report any damage, regardless of cause, to the CGA.

Contract Document

Contract Title: Preparation of the Indices to the 2022, and 2024

Supplements to the General Statutes and the 2021 and 2023 *official*

General Statutes of Connecticut

Contract # JCLM20REG0015

45. Severability. If any term or provision of the Contract or its application to any person, entity or circumstance shall, to any extent, be held to be invalid or unenforceable, the remainder of the Contract or the application of such term or provision shall not be affected as to persons, entities or circumstances other than those as to whom or to which it is held to be invalid or unenforceable. Each remaining term and provision of the Contract shall be valid and enforced to the fullest extent possible by law.
46. Confidential Information. The CGA will afford due regard to the Contractor's request for the protection of proprietary or confidential information which the CGA receives. However, all materials associated with the Bid and the Contract are subject to the terms of the Connecticut Freedom of Information Act ("FOIA") and all corresponding rules, regulations and interpretations. In making such a request, the Contractor may not merely state generally that the materials are proprietary or confidential in nature and not, therefore, subject to release to third parties. Those particular sentences, paragraphs, pages or sections that the Contractor believes are exempt from disclosure under the FOIA must be specifically identified as such. Convincing explanation and rationale sufficient to justify each exemption consistent with the FOIA must accompany the request. The rationale and explanation must be stated in terms of the prospective harm to the competitive position of the Contractor that would result if the identified material were to be released and the reasons why the materials are legally exempt from release pursuant to the FOIA. To the extent that any other provision or part of the Contract, especially including the Bid, the Records and the specifications, conflicts or is in any way inconsistent with this section, this section controls and shall apply and the conflicting provision or part shall not be given effect. If the Contractor indicates that certain documentation is submitted in confidence, by specifically and clearly marking said documentation as "CONFIDENTIAL," the CGA will endeavor to keep said information confidential to the extent permitted by law. The CGA, however, has no obligation to initiate, prosecute or defend any legal proceeding or to seek a protective order or other similar relief to prevent disclosure of any information that is sought pursuant to a FOIA request. The Contractor shall have the burden of establishing the availability of any FOIA exemption in any proceeding where it is an issue. In no event shall the CGA or the State have any liability for the disclosure of any documents or information in its possession which the CGA believes are required to be disclosed pursuant to the FOIA or other requirements of law.
47. References to Statutes, Public Acts, Regulations, Codes and Executive Orders. All references in this Contract to any statute, public act, regulation, code or executive order shall mean such statute, public act, regulation, code or executive order, respectively, as it has been amended, replaced or superseded at any time. Notwithstanding any language in this Contract that relates to such statute, public act, regulation, code or executive order, and notwithstanding a lack of a formal amendment to this Contract, this Contract shall always be read and interpreted as if it contained the most current and applicable wording and requirements of such statute, public act, regulation, code or executive order as if their most current language had been used in and requirements incorporated into this Contract at the time of its execution.
48. Cross-Default.
- (a) If the Contractor or Contractor Parties breach, default or in any way fail to Perform satisfactorily under the Contract, then the CGA may, in its sole discretion, without more and without any action whatsoever required of the CGA, treat any such event as a breach, default or failure to perform under any or all other agreements or arrangements ("Other Agreements") that the Contractor or Contractor Parties have with the CGA. Accordingly, the CGA may then exercise at its sole option any and all of its rights or remedies provided for in the Contract or Other Agreements, either selectively or collectively and without such election being deemed to prejudice any rights or remedies of the

Contract Document

Contract Title: Preparation of the Indices to the 2022, and 2024

Supplements to the General Statutes and the 2021 and 2023 *official*

General Statutes of Connecticut

Contract # JCLM20REG0015

CGA, as if the Contractor or Contractor Parties had suffered a breach, default or failure to perform under the Other Agreements.

- (b) If the Contractor or Contractor Parties breach, default or in any way fail to Perform satisfactorily under any or all Other Agreements with the CGA or the State, then the CGA may, in its sole discretion, without more and without any action whatsoever required of the CGA, treat any such event as a breach, default or failure to Perform under the Contract. Accordingly, the CGA may then exercise at its sole option any and all of its rights or remedies provided for in the Other Agreements or the Contract, either selectively or collectively and without such election being deemed to prejudice any rights or remedies of the CGA or the State, as if the Contractor or Contractor Parties had suffered a breach, default or failure to Perform under the Contract.

49. Disclosure of Records. This Contract may be subject to the provisions of section 1-218 of the Connecticut General Statutes. In accordance with this statute, each contract in excess of two million five hundred thousand dollars between a public CGA and a person for the performance of a governmental function shall (a) provide that the public CGA is entitled to receive a copy of records and files related to the performance of the governmental function, and (b) indicate that such records and files are subject to FOIA and may be disclosed by the public CGA pursuant to FOIA. No request to inspect or copy such records or files shall be valid unless the request is made to the public CGA in accordance with FOIA. Any complaint by a person who is denied the right to inspect or copy such records or files shall be brought to the Freedom of Information Commission in accordance with the provisions of sections 1-205 and 1-206 of the Connecticut General Statutes.

50. Summary of State Ethics Laws. Pursuant to the requirements of section 1-101qq of the Connecticut General Statutes, the summary of State ethics laws developed by the State Ethics Commission pursuant to section 1-81b of the Connecticut General Statutes is incorporated by reference into and made a part of the Contract as if the summary had been fully set forth in the Contract.

51. Sovereign Immunity. The parties acknowledge and agree that nothing in the Solicitation or the Contract shall be construed as a modification, compromise or waiver by the CGA or the State of any rights or defenses of any immunities provided by Federal law or the laws of the State of Connecticut to the State or any of its officers and employees, which they may have had, now have or will have with respect to all matters arising out of the Contract. To the extent that this section conflicts with any other section, this section shall govern.

52. Time of the Essence. Time is of the essence with respect to all provisions of this Contract that specify a time for performance; provided, however, that this provision shall not be construed to limit or deprive a party of the benefits of any grace or use period allowed in this Contract.

53. Certification as Small Contractor or Minority Business Enterprise. The Contractor shall be in breach of this Contract if the Contractor is certified as a "small contractor" or a "minority business enterprise" under Conn. Gen. Stat. § 4a-60g and that certification lapses during the term of this Contract.

54. Campaign Contribution Restriction. For all State contracts as defined in Conn. Gen. Stat. § 9-612(g)(1) having a value in a calendar year of \$50,000 or more or a combination or series of such agreements or contracts having a value of \$100,000 or more, the authorized signatory to this Contract expressly acknowledges receipt of the State Elections Enforcement Commission's notice advising state contractors of state campaign contribution and solicitation prohibitions, and will inform its principals of the contents of the notice, as set forth in "Notice to Executive Branch State Contractors and Prospective State Contractors of Campaign Contribution and Solicitation Limitations," attached as Attachment C.

Contract Document

Contract Title: Preparation of the Indices to the 2022, and 2024

Supplements to the General Statutes and the 2021 and 2023 *official*

General Statutes of Connecticut

Contract # JCLM20REG0015

55. Protection of Confidential Information.

- (a) Contractor and Contractor Parties, at their own expense, have a duty to and shall protect from a Confidential Information Breach any and all Confidential Information which they come to possess or control, wherever and however stored or maintained, in a commercially reasonable manner in accordance with current industry standards.
- (b) Each Contractor or Contractor Party shall develop, implement and maintain a comprehensive data - security program for the protection of Confidential Information. The safeguards contained in such program shall be consistent with and comply with the safeguards for protection of Confidential Information, and information of a similar character, as set forth in all applicable federal and state law and written policy of the CGA or State concerning the confidentiality of Confidential Information. Such data-security program shall include, but not be limited to, the following:
 - (1) A security policy for employees related to the storage, access and transportation of data containing Confidential Information;
 - (2) Reasonable restrictions on access to records containing Confidential Information, including access to any locked storage where such records are kept;
 - (3) A process for reviewing policies and security measures at least annually;
 - (4) Creating secure access controls to Confidential Information, including, but not limited to passwords; and
 - (5) Encrypting of Confidential Information that is stored on laptops, portable devices or being transmitted electronically.
- (c) The Contractor and Contractor Parties shall notify the CGA and the Connecticut Office of the Attorney General as soon as practical, but no later than twenty-four (24) hours, after they become aware of or suspect that any Confidential Information which Contractor or Contractor Parties have come to possess or control has been subject to a Confidential Information Breach. If a Confidential Information Breach has occurred, the Contractor shall, within three (3) business days after the notification, present a credit monitoring and protection plan to the Commissioner of Administrative Services, the CGA and the Connecticut Office of the Attorney General, for review and approval. Such credit monitoring or protection plan shall be made available by the Contractor at its own cost and expense to all individuals affected by the Confidential Information Breach. Such credit monitoring or protection plan shall include, but is not limited to, reimbursement for the cost of placing and lifting one (1) security freeze per credit file pursuant to Connecticut General Statutes § 36a-701a. Such credit monitoring or protection plans shall be approved by the CGA in accordance with this Section and shall cover a length of time commensurate with the circumstances of the Confidential Information Breach. The Contractors' costs and expenses for the credit monitoring and protection plan shall not be recoverable from the CGA, any State of Connecticut entity or any affected individuals.
- (d) The Contractor shall incorporate the requirements of this Section in all subcontracts requiring each Contractor Party to safeguard Confidential Information in the same manner as provided for in this Section.
- (e) Nothing in this Section shall supersede in any manner Contractor's or Contractor Party's obligations pursuant to HIPAA or the provisions of this Contract concerning the obligations of the Contractor as a Business Associate of Covered Entity.

56. Audit Requirements for Recipients of State Financial Assistance. For purposes of this paragraph, the word "contractor" shall be deemed to mean "nonstate entity," as that term is defined in Section 4-230 of the Connecticut General Statutes. The contractor shall provide for an annual financial audit acceptable to the CGA for any expenditure of state-awarded funds made by the contractor. Such

Contract Document

Contract Title: Preparation of the Indices to the 2022, and 2024

Supplements to the General Statutes and the 2021 and 2023 *official*

General Statutes of Connecticut

Contract # JCLM20REG0015

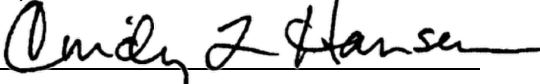
audit shall include management letters and audit recommendations. The State Auditors of Public Accounts shall have access to all records and accounts for the fiscal year(s) in which the award was made. The contractor will comply with federal and state single audit standards as applicable.

57. Anti-Trust

The Contractor hereby irrevocably assigns to the State of Connecticut all rights, title and interest in and to all Claims associated with this Contract that the Contractor now has or may or will have and that arise under the antitrust laws of the United States, 15 USC Section 1, et seq. and the antitrust laws of the State of Connecticut, Connecticut General Statute § 35-24, et seq., including, but not limited to, any and all Claims for overcharges. This assignment shall become valid and effective immediately upon the accrual of a Claim without any further action or acknowledgment by the parties.

IN WITNESS WHEREOF, the parties have executed this Contract by their duly authorized representatives with full knowledge of and agreement with its terms and conditions.

WEST PUBLISHING CORPORATION D/B/A
WEST, A THOMSON REUTERS BUSINESS

By: 

Cindy L. Hansen
Name

Title: Manager, Contract Administration
and Compliance

Date: October 21, 2020

CONNECTICUT GENERAL ASSEMBLY

By: 
James E. Tamburro (Oct 21, 2020 12:25 EDT)

James E. Tamburro
Name

Title: Executive Director
Joint Committee on Legislative Management

Date: Oct 21, 2020

ATTACHMENT A - Description of Goods and Services

Contract Title: Preparation of the Indices to the 2022, and 2024

Supplements to the General Statutes and the 2021 and 2023 *official*

General Statutes of Connecticut

Contract # JCLM20REG0015

1. Recitals

- 1.1. WHEREAS, the CGA desires to enter into this Contract for the updating and revising of the Index to the official General Statutes of Connecticut for the purposes of producing an Index for each of the 2022, and 2024 Supplements to the General Statutes, and the 2021 and 2023 official General Statutes of Connecticut;
- 1.2. WHEREAS, the CGA solicited proposals for the updating and revising of the Index to the official General Statutes of Connecticut for the purposes of producing an Index for each of the 2022, and 2024 Supplements to the General Statutes, and the 2021 and 2023 official General Statutes of Connecticut;
- 1.3. WHEREAS, the Contractor submitted a Proposal for the updating and revising of the Index to the official General Statutes of Connecticut for the purposes of producing an Index for each of the 2022, and 2024 Supplements to the General Statutes, and the 2021 and 2023 official General Statutes of Connecticut dated July 15, 2020;
- 1.4. WHEREAS, the CGA has, through a process conforming to the requirements of Connecticut General Statutes Section 2-71p, accepted the Proposal submitted by the Contractor;
- 1.5. NOW, in consideration of this Contract herein contained, the parties agree as follows.

2. Precedence of Documents

The Request for Proposal dated June 16, 2020 and the Proposal dated July 15, 2020 are incorporated by reference into this Contract. Should there be any conflict between the above-mentioned documents and this Contract, the terms and conditions of this Contract shall take precedence.

3. Definitions

- 3.1. State Capitol Campus: Defined to include the Old State House, the Legislative Daycare center, the Legislative Office Garage, the State Capitol, Minuteman Park and the Legislative Office Building.
- 3.2. Normal Business Days: Monday through Friday, excluding Connecticut State Holidays which are New Year's Day, Martin Luther King Jr. Day, Lincoln's Birthday; Washington's Birthday; Good Friday; Memorial Day; Independence Day; Labor Day; Columbus Day; Veteran's Day; Thanksgiving Day; and Christmas Day.
- 3.3. Normal Business Hours: Defined between 8 AM to 5 PM Normal Business Days.

4. Scope of Work

- 4.1. The Contractor shall update and revise the Index to the official General Statutes of Connecticut for the purposes of producing an Index for each of the 2022, and 2024 Supplements to the General Statutes, and the 2021 and 2023 official General Statutes of Connecticut per Technical Specifications in Attachment E: "I. Introduction and Summary of Services Required", "II. Indices Preparation, Specifications and Deadlines", and "III. Page Style and Format of the Indices to the

ATTACHMENT A - Description of Goods and Services

Contract Title: Preparation of the Indices to the 2022, and 2024

Supplements to the General Statutes and the 2021 and 2023 *official*

General Statutes of Connecticut

Contract # JCLM20REG0015

2021 General Statutes, the 2022 Supplement, the 2023 General Statutes, and the 2024 Supplement”.

4.2. Staffing

No person hired by the Contractor is an employee of the CGA. The Contractor acknowledges its duty to obtain, supervise, compensate, and take responsibility for the performance of its employees. Such responsibility shall also require adherence to all applicable state and federal laws and regulations, including, but not limited to, those concerning taxes, labor practices, wage rates, unemployment, nondiscrimination, and worker’s compensation insurance. The Contractor also agrees to the following provisions:

- 4.2.1. Sexual Harassment: The CGA reserves the right to request removal of any employee from this Contract who violates the Standards of Conduct or Sexual Harassment policy as defined by the Connecticut General Assembly Employee Handbook.
- 4.2.2. Staff Competency: The Contractor shall employ on the premises only persons skilled and trained in the work assigned to them.
- 4.2.3. Staff Performance: The CGA, in its sole discretion, can determine that any person employed by the Contractor is not performing in accordance with the standards outlined in this Contract. Upon receiving written notification, the Contractor shall remove said employee from the State Capitol Campus subject to this contract and shall promptly substitute another employee. The Contractor shall promptly furnish qualified substitutes for any employee who, in the sole opinion of the CGA, is unsatisfactory.

4.3. Subcontractors are not authorized to work under this Contract without advance notice and prior CGA written approval.

Throughout the term of the contract, the Contractor must submit a proposal requesting written approval from the CGA for any work that will be performed by subcontractors in addition to those listed above prior to the work being performed. The Contractor shall assume responsibility for all services of the subcontractor. The Contractor shall be the sole point of contact with regards to all matters, including subcontractor performance. The Contractor shall ensure the subcontractor complies with all specifications, applicable prevailing rates, applicable licensing requirements, and appropriate insurance requirements.

4.4. Specific Contractor Guarantees

In addition to the other Contractor guarantees contained in this Contract, the Contractor shall specifically agree and guarantee:

- 4.4.1. To ensure that all electronic pages delivered to the LCO under this Contract are free of defects and produced in accordance with the specifications provided for in this Contract;
- 4.4.2. To correct, at its own expense, any errors that it may create in the preparation of the electronic pages of the 2021 General Statutes Index, the 2022 Supplement Index, the 2023 General Statutes Index, or the 2024 Supplement Index, as the case may be, which

ATTACHMENT A - Description of Goods and Services

Contract Title: Preparation of the Indices to the 2022, and 2024

Supplements to the General Statutes and the 2021 and 2023 *official*

General Statutes of Connecticut

Contract # JCLM20REG0015

the LCO may discover before or after the electronic pages have been used by the CGA's printer in the preparation of the multiple printed volumes of the 2021 General Statutes, the 2022 Supplement, the 2023 General Statutes, or the 2024 Supplement, as the case may be. If it is not reasonably possible for the CGA's printer to effect any such correction due to the status of production of the printed volumes, the Contractor shall, at its own expense, provide errata sheets or labels in the quantity of the print run of the volumes affected and shall assume the distribution costs of the errata sheets or labels to all users of the defective books. The current estimated print run for the 2021 General Statutes is approximately 4,445 copies of each volume;

4.4.3. To ensure that all CD-ROMs or FTP files delivered or transmitted to the CGA pursuant to this Contract are complete, readable and virus free; and

4.4.4. To perform all services and deliveries as provided for in this Contract.

4.5. Liability for Loss or Injury to Materials

The Contractor shall be liable for any loss or injury to any material furnished under this Contract that is caused by the Contractor's failure to exercise such care in regard to such material as a reasonable, careful owner of similar material.

4.6. Delivery

All electronic pages and CD-ROMs or FTP files provided for under this Contract, and any materials returned by the Contractor to the CGA pursuant to this Contract, shall be delivered or transmitted to: LCO, Legislative Office Building, 300 Capitol Avenue, Room 5500, Hartford, CT 06106-1591, or to the e-mail address or Internet FTP site specified by the LCO on behalf of the CGA, or to such other address as the LCO on behalf of the CGA may designate in writing. There shall be no additional cost for transportation, including air transportation, because these costs are included in the applicable prices in Attachment B.

4.7. Passing of Title

Title to and possession of the electronic pages and CD-ROMs or FTP files provided for under this Contract shall pass to the State of Connecticut upon delivery of same to LCO, Legislative Office Building, 300 Capitol Avenue, Room 5500, Hartford, CT 06106-1591, or to the e-mail address or Internet FTP site specified by the LCO on behalf of the CGA, or upon delivery to such other address as may be designated in writing by the LCO on behalf of the CGA.

4.8. Use of Materials Delivered by the LCO

The Contractor shall not divulge or utilize for its own benefit or purposes, or for the benefit or purposes of any of its clients or subscribers or any other person, any material delivered to it or acquired by it in the course of carrying out this Contract without the prior written consent of the Legislative Commissioners, or their designee, on behalf of the CGA.

4.9. Assignment of Copyright

The 2021 General Statutes Index, the 2022 Supplement Index, the 2023 General Statutes Index, and the 2024 Supplement Index produced pursuant to this Contract are each a "work made for hire" specially commissioned by the CGA. To the extent, if any, that any Index produced

ATTACHMENT A - Description of Goods and Services

Contract Title: Preparation of the Indices to the 2022, and 2024

Supplements to the General Statutes and the 2021 and 2023 *official*

General Statutes of Connecticut

Contract # JCLM20REG0015

pursuant to this Contract is not deemed a "work made for hire", such Index is hereby assigned to the CGA and all rights thereto shall be vested solely in the CGA.

5. Contract Term

The Contract will be in effect from the date the Contract is executed by both the Contractor and the CGA (the "Effective Date") through June 30, 2024, with the option to extend this Contract one or more times for a combined total period not to exceed the complete length of the original term upon mutual agreement of both parties.

6. Conditions

The Contractor shall submit the documentation listed in Attachment C with this Contract and update as necessary, for the duration of this Contract, before a purchase order is authorized.

7. Compensation

7.1. The compensation for services hereunder shall be in accordance with Attachment B of this Contract and any signed purchase orders issued pursuant to this Contract. The Contractor shall not begin performance until the Contractor receives a signed purchase order. This is a unit price contract based on unit prices listed in Attachment B.

7.2. Compensation under this Contract is contingent upon funding being available for this purpose;

7.3. Expense Reimbursements

Contractor will not be reimbursed for contract or travel-related expenses. No compensation will be made for any mileage or any reimbursements, except where there is a major outlay of resources made by the Contractor which was previously approved by the CGA in writing.

7.4. Cost of Consumable Materials and Shipping

All costs of consumable materials and shipping shall be included in pricing set forth in Attachment B. No additional compensation will be made to the Contractor for consumable materials and/or shipping.

7.5. Payment Terms

Payment terms under this Contract are set forth in Attachment B. Payment shall be made only after the CGA receives and accepts the Goods and/or Services and after it receives a properly completed invoice.

Unless otherwise specified in the Contract, payment for all accepted Goods and/or Services shall be due within forty-five (45) days after acceptance of the Goods and/or Services (in arrears) in accordance with Conn. Gen. Stat. §4a-71, or twenty five (25) days if the Contractor is a certified small contractor or minority business enterprise as defined in Conn. Gen. Stat. § 4a-60g.

7.6. Frequency of Billing

Invoices shall be submitted upon completion of the deliverables outlined in this Contract.

7.7. Properly Prepared Invoice

The Contractor shall submit a Properly Prepared Invoice to the CGA for the Performance. A Properly Prepared Invoice is defined as an invoice that is dated subsequent to the date the

ATTACHMENT A - Description of Goods and Services

Contract Title: Preparation of the Indices to the 2022, and 2024

Supplements to the General Statutes and the 2021 and 2023 *official*

General Statutes of Connecticut

Contract # JCLM20REG0015

goods/services have been received and that is accompanied by all the required information and supporting documentation as delineated below:

- 7.7.1. A Properly Prepared Invoice shall include
- i. The purchase order number;
 - ii. Invoice date;
 - iii. Invoice number;
 - iv. Description of the service provided and the services dates;
 - v. Separate invoice line for the charges outlined in the Price Schedule (Attachment B):
 - A. Updating and revising the General Statutes or Supplement Index with enactments, etc.;
 - B. Extracting index entries and creating the General Statutes Index or Supplement Index, and supplying electronic pages;
 - C. Supplying a virus-free CD-ROM or FTP file of the database of the General Statutes or Supplement Index;
 - D. Supplying a virus-free CD-ROM or FTP file of the print-image version without coding of the database of the General Statutes or Supplement Index; and
 - E. Supplying a virus-free CD-ROM or FTP file of the reverse or numeric version of the database of the General Statutes or Supplement Index; and
 - vi. All invoices shall reflect the lines on the signed purchase order.

The CGA reserves the right to reject invoices for payment if the information provided on the invoices fails to conform to the guidelines set out above. The Contractor is responsible for all subcontractor invoices and supporting documentation and must resolve any discrepancies with the subcontractor.

- 7.7.2. Invoices should be sent directly to Accounts Payable Group; Office of Legislative Management; 300 Capitol Avenue, Room 5100; Hartford, CT 06106 or emailed to OLM.AP@cga.ct.gov.

7.8. Price Adjustments

No price increases are allowed under this Contract, unless mutually agreed upon in writing by both parties.

7.9. Liquidated Damages

- 7.9.1. Liquidated damages will be incurred as a result of a Contract breach. By law, the three requisite conditions to establish any liquidated damages are that: (1) the damage from a breach of Contract was uncertain in amount or difficult to prove; (2) there was an advance intent by the parties to establish liquidating damages in the event of a Contract breach; and (3) the amount stipulated was reasonable. *Hanson Development Co. v. East Great Plains Shopping Center, Inc.*, 485 A.2d 1296, 1300 (Conn. 1985).

- 7.9.2. The Contractor shall understand and agree that time is of the essence and that in the case of failure on the part of the Contractor, except with the written consent of the LCO, to complete the furnishing of services as provided for in this Contract within the time required, the CGA shall have the right to deduct from any moneys due, or which may become due, or if no moneys shall become due, the right to recover the amount of five

ATTACHMENT A - Description of Goods and Services

Contract Title: Preparation of the Indices to the 2022, and 2024

Supplements to the General Statutes and the 2021 and 2023 *official*

General Statutes of Connecticut

Contract # JCLM20REG0015

hundred dollars (\$500.00), for each calendar day elapsing between the time agreed for delivery and the actual date of delivery, in accordance with the terms of this Contract, the deduction to be made or the sum to be recovered as liquidated damages.

Recovery of Liquidated Damages shall be construed as cumulative and does not prevent the CGA from recovery of any other remedy provided for in the Contract or at law or in equity.

THE REMAINDER OF THIS PAGE HAS BEEN LEFT INTENTIONALLY BLANK.

ATTACHMENT A - Description of Goods and Services

Contract Title: Preparation of the Indices to the 2022, and 2024

Supplements to the General Statutes and the 2021 and 2023 *official*

General Statutes of Connecticut

Contract # JCLM20REG0015

8. Notice

All notices, demands, requests, consents, approvals or other communications required or permitted to be given or which are given with respect to this Contract (for the purpose of this section collectively called "Notices") shall be deemed to have been effected at such time as (a) the notice is placed in the U.S. mail, first class and postage pre-paid, return receipt requested, (b) the notice is placed with a recognized, overnight express delivery service that provides for a return receipt or sent via email, or (c) an email is sent.

If to the CGA

Mailing Address: Contracting Department
CT General Assembly
Office of Legislative Management
Legislative Office Building
300 Capitol Avenue, Room 5100
Hartford, CT 06106

Email: CGAContracting@cga.ct.gov

If to the Contractor:

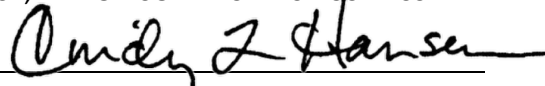
Contact Name/Title: Cindy Hansen
Manager, Contract Administration & Compliance
Jill Raymond
Sr. Contract Administration & Compliance Specialist

Mailing Address: West Publishing Corporation d/b/a West, a Thomson Reuters business,
610 Opperman Drive, Eagan, MN 55123

Email: Cindy.Hansen@tr.com
Jill.Raymond@tr.com

The parties are executing this Contract on the date below their respective signatures.

WEST PUBLISHING CORPORATION D/B/A
WEST, A THOMSON REUTERS BUSINESS

By: 

Cindy L. Hansen
Name

Title: Manager, Contract Administration
and Compliance

Date: October 21, 2020

CONNECTICUT GENERAL ASSEMBLY

By: 
James E. Tamburro (Oct 21, 2020 12:25 EDT)

James E. Tamburro
Name

Title: Executive Director
Joint Committee on Legislative Management

Date: Oct 21, 2020

ATTACHMENT B – Price Schedule

Contract Title: Preparation of the Indices to the 2022, and 2024

Supplements to the General Statutes and the 2021 and 2023 *official*

General Statutes of Connecticut

Contract # JCLM20REG0015

Pricing below include all indexing services, updating of the General Statutes Index databases, preparation of the electronic pages, and the CD-ROM or FTP file, labor and materials, and transportation or shipping charges.

DELIVERABLES FOR PREPARING THE 2021 GENERAL STATUTES INDEX AND PROVIDING ELECTRONIC PAGES OF SAME, AND SUPPLYING THE DATABASE OF THE 2021 GENERAL STATUTES INDEX	2021
Updating and revising the 2020 Index with enactments, etc., from 2020 affecting approximately 1,500 sections	\$14,000.00
Extracting index entries and creating the 2021 General Statutes Index, and supplying electronic pages	\$25.95/page
Supplying a virus-free CD-ROM or FTP file of the database of the 2021 General Statutes Index	\$0.00
Supplying a virus-free CD-ROM or FTP file of the print-image version without coding of the database of the 2021 General Statutes Index	\$600.00
Supplying a virus-free CD-ROM or FTP file of the reverse or numeric version of the database of the 2021 General Statutes Index	\$0.00
Estimated total number of pages in the 2021 General Statutes Index:	2,500 pages

DELIVERABLES FOR PREPARING THE 2022 SUPPLEMENT INDEX AND PROVIDING ELECTRONIC PAGES OF SAME, AND SUPPLYING THE DATABASE OF THE 2022 INDEX	2022
Updating and revising the 2021 General Statutes Index with enactments, etc., from 2021, affecting approximately 2,000 sections	\$14,000.00
Extracting index entries and creating the 2022 Supplement Index, and supplying electronic pages	\$25.95/page
Supplying a virus-free CD-ROM or FTP file of the database of the 2022 Supplement Index	\$0.00
Supplying a virus-free CD-ROM or FTP file of the print-image version without coding of the database of the 2022 Supplement Index	\$600.00
Supplying a virus-free CD-ROM or FTP file of the reverse or numeric version of the database of the 2022 Supplement Index	\$0.00
Estimated total number of pages in the 2022 Supplement Index:	480 pages

ATTACHMENT B – Price Schedule

Contract Title: Preparation of the Indices to the 2022, and 2024

Supplements to the General Statutes and the 2021 and 2023 *official*

General Statutes of Connecticut

Contract # JCLM20REG0015

DELIVERABLES FOR CHARGES FOR PREPARING THE 2023 GENERAL STATUTES INDEX AND PROVIDING ELECTRONIC PAGES OF SAME, AND SUPPLYING THE DATABASE OF THE 2023 GENERAL STATUTES INDEX	2023
Updating and revising the 2022 Index with enactments, etc., from 2022, affecting approximately 1,500 sections	\$14,000
Extracting index entries and creating the 2023 General Statutes Index, and supplying electronic pages	\$25.95/page
Supplying a virus-free CD-ROM or FTP file of the database of the 2023 General Statutes Index	\$0.00
Supplying a virus-free CD-ROM or FTP file of the print-image version without coding of the database of the 2023 General Statutes Index	\$600.00
Supplying a virus-free CD-ROM or FTP file of the reverse or numeric version of the database of the 2023 General Statutes Index	\$0.00
Estimated total number of pages in the 2023 General Statutes Index	2,500 pages

DELIVERABLES FOR PREPARING THE 2024 SUPPLEMENT INDEX AND PROVIDING ELECTRONIC PAGES OF SAME, AND SUPPLYING THE DATABASE OF THE 2024 INDEX	2024
Updating and revising the 2023 General Statutes Index with enactments, etc., from 2023, affecting approximately 2,000 sections	\$14,000
Extracting index entries and creating the 2024 Supplement Index, and supplying electronic pages	\$25.95/page
Supplying a virus-free CD-ROM or FTP file of the database of the 2024 Supplement Index	\$0.00
Supplying a virus-free CD-ROM or FTP file of the print-image version without coding of the database of the 2024 Index	\$600.00
Supplying a virus-free CD-ROM or FTP file of the reverse or numeric version of the database of the 2024 Supplement Index	\$0.00
Estimated total number of pages in the 2024 Supplement Index:	480 pages

Payment Terms

Payment terms are net 45 days.

Connecticut SBE/MBE:

MBE SBE Neither

ATTACHMENT C – Required Forms Checklist

Contract Title: Preparation of the Indices to the 2022, and 2024

Supplements to the General Statutes and the 2021 and 2023 *official*

General Statutes of Connecticut

Contract # JCLM20REG0015

REQUIRED FORM	COMPLETED?
<u>Insurance Certificate and Endorsement</u> The Contractor shall submit sufficient commercial general liability insurance at levels, as delineated in Section 33 of this Contract. The Contractor shall name the State/CT General Assembly as an additional insured on the policy, shall provide a certificate of insurance or a copy of the policy, and shall provide an endorsement indicating the State/CT General Assembly has been added to the policy as an additional insured prior to the effective date of this Contract. Insurance coverage shall be maintained during the time that any provisions survive the term of this Contract. The Contractor shall not begin performance until the delivery of the policy to the CGA.	N/A
<u>Nondiscrimination Form</u> The Contractor shall complete and submit the Nondiscrimination Certification pursuant to Conn. Gen. Stat. §4a-60(a) and Conn. Gen. Stat. §4a-60a(a).	<input checked="" type="checkbox"/>
<u>Gift and Campaign Contribution Ban Acknowledgement Form</u> The Contractor shall complete and submit the Gift and Campaign Contribution Ban Acknowledgement Form pursuant to Conn. Gen. Stat. §4-250; Conn. Gen. Stat. §4-252(c); and Conn. Gen. Stat. §9-612(f)(2) and any subsequent amendments to these sections.	<input checked="" type="checkbox"/>
<u>Iran Certification</u> Effective October 1, 2013, this form must be submitted for any large state contract, as defined in Conn. Gen. Stat. §4-250. This form must always be submitted with the bid or proposal, or if there was no bid process, with the resulting contract, regardless of where the principal place of business is located.	<input checked="" type="checkbox"/>
<u>CHRO Documentation</u> The Contractor shall complete the Contract Compliance Monitoring Report for the Connecticut Commission on Human Rights and Opportunities (CHRO) in accordance with Conn. Gen. Stat. §§46a-68 and 46a-68a.	<input checked="" type="checkbox"/>
<u>Proof of Authorization Form</u> The Contractor shall complete the attached form.	<input checked="" type="checkbox"/>
<u>Vendor Profile Form</u> The Contractor shall complete the attached form.	<input checked="" type="checkbox"/>
<u>W-9 Form</u> The Contractor shall complete the attached form.	<input checked="" type="checkbox"/>

ATTACHMENT D - Notice to Legislative Branch State Contractors and Prospective State Contractors of Campaign Contribution and Solicitation Ban

Contract Title: Preparation of the Indices to the 2022, and 2024

General Statutes and the 2021 and 2023 *official*

General Statutes of Connecticut

Contract # JCLM20REG0015

This notice is provided under the authority of Connecticut General Statutes § 9-612 (f)(2) and is for the purpose of informing state contractors and prospective state contractors of the following law (italicized words are defined later on in the notice).

Campaign Contribution and Solicitation Limitations

No state contractor, prospective state contractor, principal of a state contractor or principal of a prospective state contractor, with regard to a state contract or state contract solicitation with or from the General Assembly or a holder, or principal of a holder, of a valid prequalification certificate shall make a contribution to (i) an exploratory committee or candidate committee established by a candidate for nomination or election to the office of state senator or state representative, (ii) a political committee authorized to make contributions or expenditures to or for the benefit of such candidates, or (iii) a party committee (which includes town committees). In addition, no holder or principal of a holder of a valid prequalification certificate shall make a contribution to (i) an exploratory committee or candidate committee established by a candidate for nomination or election to the office of State Governor, Lieutenant Governor, Attorney General, State Comptroller, Secretary of the State or State Treasurer, (ii) a political committee authorized to make contributions or expenditures to or for the benefit of such candidates, or (iii) a party committee. On and after January 1, 2011, no state contractor, prospective state contractor, principal of a state contractor or principal of a prospective state contractor, with regard to a state contract or state contract solicitation with or from the General Assembly, shall knowingly solicit contributions from the state contractor's or prospective state contractor's employees or from a subcontractor or principals of the subcontractor on behalf of (i) an exploratory committee or candidate committee established by a candidate for nomination or election to the office state senator or state representative, (ii) a political committee authorized to make contributions or expenditures to or for the benefit of such candidates, or (iii) a party committee.

Duty to Inform

State contractors and prospective state contractors are required to inform their principals of the above prohibitions, as applicable, and the possible penalties and other consequences of any violation thereof.

Penalties for Violations

Contributions or solicitations of contributions made in violation of the above prohibitions may result in the following civil and criminal penalties:

Civil penalties—Up to \$2,000 or twice the amount of the prohibited contribution, whichever is greater, against a principal or a contractor. Any state contractor or prospective state contractor which fails to make reasonable efforts to comply with the provisions requiring notice to its principals of these prohibitions and the possible consequences of their violations may also be subject to civil penalties of up to \$2,000 or twice the amount of the prohibited contributions made by their principals.

Criminal penalties—Any knowing and willful violation of the prohibition is a Class D felony, which may subject the violator to imprisonment of not more than 5 years, or not more than \$5,000 in fines, or both.

Contract Consequences

In the case of a state contractor, contributions made or solicited in violation of the above prohibitions may result in the contract being voided.

In the case of a prospective state contractor, contributions made or solicited in violation of the above prohibitions shall result in the contract described in the state contract solicitation not being awarded to the prospective state contractor, unless the State Elections Enforcement Commission determines that mitigating circumstances exist concerning such violation.

ATTACHMENT D - Notice to Legislative Branch State Contractors and Prospective State Contractors of Campaign Contribution and Solicitation Ban

Contract Title: Preparation of the Indices to the 2022, and 2024

General Statutes and the 2021 and 2023 *official*

General Statutes of Connecticut

Contract # JCLM20REG0015

The State shall not award any other state contract to anyone found in violation of the above prohibitions for a period of one year after the election for which such contribution is made or solicited, unless the State Elections Enforcement Commission determines that mitigating circumstances exist concerning such violation.

Definitions

“State contractor” means a person, business entity or nonprofit organization that enters into a state contract. Such person, business entity or nonprofit organization shall be deemed to be a state contractor until December thirty-first of the year in which such contract terminates. “State contractor” does not include a municipality or any other political subdivision of the state, including any entities or associations duly created by the municipality or political subdivision exclusively amongst themselves to further any purpose authorized by statute or charter, or an employee in the executive or legislative branch of state government or a quasi-public agency, whether in the classified or unclassified service and full or part-time, and only in such person’s capacity as a state or quasi-public agency employee.

“Prospective state contractor” means a person, business entity or nonprofit organization that (i) submits a response to a state contract solicitation by the state, a state agency or a quasi-public agency, or a proposal in response to a request for proposals by the state, a state agency or a quasi-public agency, until the contract has been entered into, or (ii) holds a valid prequalification certificate issued by the Commissioner of Administrative Services under section 4a-100.

“Prospective state contractor” does not include a municipality or any other political subdivision of the state, including any entities or associations duly created by the municipality or political subdivision exclusively amongst themselves to further any purpose authorized by statute or charter, or an employee in the executive or legislative branch of state government or a quasi-public agency, whether in the classified or unclassified service and full or part-time, and only in such person’s capacity as a state or quasi-public agency employee.

“Principal of a state contractor or prospective state contractor” means (i) any individual who is a member of the board of directors of, or has an ownership interest of five per cent or more in, a state contractor or prospective state contractor, which is a business entity, except for an individual who is a member of the board of directors of a nonprofit organization, (ii) an individual who is employed by a state contractor or prospective state contractor, which is a business entity, as president, treasurer or executive vice president, (iii) an individual who is the chief executive officer of a state contractor or prospective state contractor, which is not a business entity, or if a state contractor or prospective state contractor has no such officer, then the officer who duly possesses comparable powers and duties, (iv) an officer or an employee of any state contractor or prospective state contractor who has managerial or discretionary responsibilities with respect to a state contract, (v) the spouse or a dependent child who is eighteen years of age or older of an individual described in this subparagraph, or (vi) a political committee established or controlled by an individual described in this subparagraph or the business entity or nonprofit organization that is the state contractor or prospective state contractor.

“State contract” means an agreement or contract with the state or any state agency or any quasi-public agency, let through a procurement process or otherwise, having a value of fifty thousand dollars or more, or a combination or series of such agreements or contracts having a value of one hundred thousand dollars or more in a calendar year, for (i) the rendition of services, (ii) the furnishing of any goods, material, supplies, equipment or any items of any kind, (iii) the construction, alteration or repair of any public building or public work, (iv) the acquisition, sale or lease of any land or building, (v) a licensing arrangement, or (vi) a grant, loan or loan guarantee. “State contract” does not include any agreement or contract with the state, any state agency or any quasi-public agency that is exclusively federally funded, an education loan, a loan to an individual for other than commercial purposes or any agreement or contract between the state or any state agency and the United States Department of the Navy or the United States Department of Defense.

ATTACHMENT D - Notice to Legislative Branch State Contractors and Prospective State Contractors of Campaign Contribution and Solicitation Ban

Contract Title: Preparation of the Indices to the 2022, and 2024

General Statutes and the 2021 and 2023 *official*

General Statutes of Connecticut

Contract # JCLM20REG0015

“State contract solicitation” means a request by a state agency or quasi-public agency, in whatever form issued, including, but not limited to, an invitation to bid, request for proposals, request for information or request for quotes, inviting bids, quotes or other types of submittals, through a competitive procurement process or another process authorized by law waiving competitive procurement.

“Managerial or discretionary responsibilities with respect to a state contract” means having direct, extensive and substantive responsibilities with respect to the negotiation of the state contract and not peripheral, clerical or ministerial responsibilities.

“Dependent child” means a child residing in an individual’s household who may legally be claimed as a dependent on the federal income tax of such individual.

“Solicit” means (A) requesting that a contribution be made, (B) participating in any fund-raising activities for a candidate committee, exploratory committee, political committee or party committee, including, but not limited to, forwarding tickets to potential contributors, receiving contributions for transmission to any such committee, serving on the committee that is hosting a fundraising event, introducing the candidate or making other public remarks at a fundraising event, being honored or otherwise recognized at a fundraising event, or bundling contributions, (C) serving as chairperson, treasurer or deputy treasurer of any such committee, or (D) establishing a political committee for the sole purpose of soliciting or receiving contributions for any committee. “Solicit” does not include: (i) making a contribution that is otherwise permitted by Chapter 155 of the Connecticut General Statutes; (ii) informing any person of a position taken by a candidate for public office or a public official, (iii) notifying the person of any activities of, or contact information for, any candidate for public office; or (iv) serving as a member in any party committee or as an officer of such committee that is not otherwise prohibited in this section.

“Subcontractor” means any person, business entity or nonprofit organization that contracts to perform part or all of the obligations of a state contractor’s state contract. Such person, business entity or nonprofit organization shall be deemed to be a subcontractor until December thirty first of the year in which the subcontract terminates.

“Subcontractor” does not include (i) a municipality or any other political subdivision of the state, including any entities or associations duly created by the municipality or political subdivision exclusively amongst themselves to further any purpose authorized by statute or charter, or (ii) an employee in the executive or legislative branch of state government or a quasi-public agency, whether in the classified or unclassified service and full or part-time, and only in such person’s capacity as a state or quasi-public agency employee.

“Principal of a subcontractor” means (i) any individual who is a member of the board of directors of, or has an ownership interest of five per cent or more in, a subcontractor, which is a business entity, except for an individual who is a member of the board of directors of a nonprofit organization, (ii) an individual who is employed by a subcontractor, which is a business entity, as president, treasurer or executive vice president, (iii) an individual who is the chief executive officer of a subcontractor, which is not a business entity, or if a subcontractor has no such officer, then the officer who duly possesses comparable powers and duties, (iv) an officer or an employee of any subcontractor who has managerial or discretionary responsibilities with respect to a subcontract with a state contractor, (v) the spouse or a dependent child who is eighteen years of age or older of an individual described in this subparagraph, or (vi) a political committee established or controlled by an individual described in this subparagraph or the business entity or nonprofit organization that is the subcontractor.

ATTACHMENT E

**TECHNICAL SPECIFICATIONS FOR THE PREPARATION
OF THE INDICES TO THE
2021 *OFFICIAL* GENERAL STATUTES OF CONNECTICUT, THE
2022 SUPPLEMENT TO THE GENERAL STATUTES, THE
2023 *OFFICIAL* GENERAL STATUTES OF CONNECTICUT, AND THE
2024 SUPPLEMENT TO THE GENERAL STATUTES**

(Including updating, revising and composition services)

I. INTRODUCTION AND SUMMARY OF SERVICES REQUIRED

Legislative Commissioners’ Office: The Legislative Commissioners’ Office (LCO) is directed by a Democratic Commissioner and a Republican Commissioner appointed by the Connecticut General Assembly (CGA), and is a nonpartisan office of the CGA whose legal staff is responsible for drafting and reviewing all bills, amendments and resolutions coming before the CGA. The LCO is also responsible for publishing the *official* Connecticut Public and Special Acts (Public and Special Acts) each year, codifying the Public Acts of each legislative session, revising the *official* General Statutes of Connecticut (General Statutes) and publishing the same at the beginning of each odd-numbered year, and publishing Supplements thereto (Supplements) at the beginning of each even-numbered year. In addition, the LCO supervises the preparation of browseable HTML versions of the General Statutes and the Supplements for use on the CGA’s Internet web site. The Joint Committee on Legislative Management (JCLM) is responsible for all business activities involving the LCO.

Summary of services required: The Contractor shall provide services for the updating and revising of the Index to the *official* General Statutes of Connecticut, which is currently revised to January 1, 2020 (2020 Supplement to the General Statutes Index), for the purpose of producing an Index to the *official* General Statutes of Connecticut, revised to January 1, 2021 (2021 General Statutes Index), an Index to the 2022 Supplement to the General Statutes (2022 Supplement Index), an Index to the *official* General Statutes of Connecticut, revised to January 1, 2023 (2023 General Statutes Index), and an Index to the 2024 Supplement to the General Statutes (2024 Supplement Index). Such updating and revising services must include, but not be limited to, the legal indexing by professional indexers of the codified legislation enacted by the CGA during its 2019 special session, and its 2020, 2021, 2022, and 2023 regular and special sessions, and delivery to the CGA of the 2021 General Statutes Index, as hereinafter described, the 2022 Supplement Index, as hereinafter described, the 2023 General Statutes Index, as hereinafter described, and the 2024 Supplement Index, as hereinafter described. The Contractor shall provide composition services for the 2021 General Statutes Index, the 2022 Supplement Index, the 2023 General

ATTACHMENT E – Technical Specifications

Contract Title: Preparation of the Indices to the 2022, and 2024

Supplement to the General Statutes and the 2021 and 2023 *official*

General Statutes of Connecticut

Contract # JCLM20REG0015

Statutes Index, and the 2024 Supplement Index. Such composition services must include, but not be limited to, the preparation of electronic pages (PDF files with fonts and images embedded) (electronic pages) of the 2021 General Statutes Index, the 2022 Supplement Index, the 2023 General Statutes Index, and the 2024 Supplement Index, and delivery of said Indices to the CGA, as hereinafter described. The electronic pages will be used by the CGA's printer in connection with the printing of the *official* General Statutes of Connecticut, revised to January 1, 2021 (2021 General Statutes), the 2022 Supplement to the General Statutes (2022 Supplement), the *official* General Statutes of Connecticut, revised to January 1, 2023 (2023 General Statutes), and the 2024 Supplement to the General Statutes (2024 Supplement). The Contractor shall produce materials required under this Contract and deliver them to the CGA as hereinafter provided.

II. INDICES PREPARATION, SPECIFICATIONS AND DEADLINES

The following are the specifications and deadlines for the preparation of the 2021 General Statutes Index, to be carried out in 2020, the 2022 Supplement Index, to be carried out in 2021, the 2023 General Statutes Index, to be carried out in 2022, and the 2024 Supplement Index, to be carried out in 2023. The specific delivery dates or times for the services required by the CGA under the Contract shall be as hereinafter provided.

A. 2020 -- PREPARATION OF THE 2021 GENERAL STATUTES INDEX:

(1) Materials to be delivered by the LCO to the Contractor in 2020: The LCO shall deliver the following to the Contractor to enable it to perform under this Contract:

- (a) If requested by the Contractor, one (1) set of the 2020 Public and Special Acts, to be shipped to the Contractor on or before **October 5, 2020**, or upon publication thereof, whichever is later;
- (b) One (1) copy of the codified enactments from the 2020 regular and special sessions of the CGA, and such other Revisor's editorial changes as there may be to the 2019 General Statutes and the 2020 Supplement, and such changes as there may be to the United States and Connecticut Constitutions and the several Amendments thereto, to be shipped to the Contractor on or before **November 1, 2020**, but may subject to change dependent on the adjournment of any special session held. (**NOTE:** It is anticipated that such enactments and editorial changes will affect approximately 1,500 sections of the 2019 General Statutes and the 2020 Supplement);
- (c) One (1) copy of any corrections or changes to the 2020 Index which may be required by the LCO, to be shipped to the Contractor on or before **November 1, 2020**;

ATTACHMENT E – Technical Specifications

Contract Title: Preparation of the Indices to the 2022, and 2024

Supplement to the General Statutes and the 2021 and 2023 *official*

General Statutes of Connecticut

Contract # JCLM20REG0015

- (d) One (1) copy of the codified enactments from any special session of the CGA which may be held on or after **November 1, 2020**, to be shipped to the Contractor as soon as possible after the adjournment of such session.

(2) Services and products required by the CGA in 2020: The CGA shall require the Contractor to provide or supply the following services and products:

- (a) The updating and revising of the 2020 Index to January 1, 2021, including the updating and revising of the entries to the United States and Connecticut Constitutions and the several Amendments thereto, for the purpose of creating the 2021 General Statutes Index. See Item A.(3)(a) hereof for detailed description of the services required;
- (b) The incorporation into the 2021 General Statutes Index of all corrections or other changes that may be requested by the LCO and delivered in manuscript form or otherwise. See Item A.(3)(b) hereof for detailed description of the services required;
- (c) Electronic pages of the 2021 General Statutes Index. See Item A.(3)(c) and (d) hereof for detailed description of the electronic pages required, and the delivery schedule;
- (d) Proofread or otherwise check for accuracy, to the extent necessary, the electronic pages of the 2021 General Statutes Index. See Item A.(3)(d) hereof for detailed description of the services required, and the delivery schedule;
- (e) One (1) copy of the 2021 General Statutes Index database, in ASCII format, on a virus-free CD-ROM or in an FTP file, together with a full description of the database files showing the record layout, coding, etc. See Item A.(3)(e) hereof for detailed description of the services required, and the delivery schedule;
- (f) One (1) print-image version without coding of the 2021 General Statutes Index database, in Microsoft Word format, on a virus-free CD-ROM or in an FTP file, together with a full description of the database files showing the record layout, etc. See Item A.(3)(f) hereof for detailed description of the services required, and the delivery schedule;
- (g) One (1) copy of the reverse or numeric version of the 2021 General Statutes Index database, in ASCII format, on a virus-free CD-ROM or in an FTP file, showing in numeric sequence where each section of the 2021 General Statutes, and the United States and Connecticut Constitutions and the several Amendments thereto, are indexed. See Item A.(3)(g) hereof for detailed description of the services required, and the delivery schedule;

ATTACHMENT E – Technical Specifications

Contract Title: Preparation of the Indices to the 2022, and 2024

Supplement to the General Statutes and the 2021 and 2023 *official*

General Statutes of Connecticut

Contract # JCLM20REG0015

- (h) If requested by the LCO, return all materials delivered by the LCO to the Contractor in 2020, in good condition. See Item A.(3)(h) hereof for detailed description of the services required, and the delivery schedule.

(3) Work to be performed by the Contractor in 2020: The Contractor shall perform the following in 2020:

- (a) All necessary work to update and revise the 2020 Index to January 1, 2021, including the updating and revising of the entries relating to the United States and Connecticut Constitutions and the several Amendments thereto, for the purpose of creating the 2021 General Statutes Index. In updating and revising the 2020 Index, the Contractor shall maintain as far as possible the format and heading structure of the 2019 General Statutes Index. The Contractor shall charge the CGA therefor in accordance with the applicable pricing in Attachment B hereof;
- (b) Incorporate into the 2021 General Statutes Index all corrections or other changes that may be requested by the LCO and delivered to the Contractor in manuscript form or otherwise, and shall make no additional charge therefor;
- (c) Prepare and supply the LCO with the electronic pages of the 2021 General Statutes Index, and the instructions for using the 2021 General Statutes Index with the heading “**INDEX – A NOTE ON USAGE**”, in accordance with the **PAGE STYLE AND FORMAT** specifications set out in Section IV hereof. The electronic pages of the 2021 General Statutes Index shall be delivered to the CGA divided into the alphabetic letter ranges “A through H”, “I through S”, and “T through Z”, in the same manner as in Volumes 14, 15 and 16, respectively, of the 2019 General Statutes Index. The electronic pages of the “**INDEX – A NOTE ON USAGE**” shall contain the centered foot folios “iii” and “iv” respectively. The Contractor shall charge the CGA for effecting the updating and revision of the 2020 Index and supplying all the electronic pages of the 2021 General Statutes Index in accordance with the applicable pricing in Attachment B hereof;
- (d) Proofread or otherwise check for accuracy, to the extent necessary, the electronic pages of the 2021 General Statutes Index, including the “**INDEX – A NOTE ON USAGE**”, and shall make no additional charge therefor;

All the electronic pages of the 2021 General Statutes Index shall be delivered to the LCO on or before **December 8, 2020**, provided if there are any special sessions of the CGA held on or after **November 1, 2020**, the Contractor shall update and revise the 2021 General Statutes Index with the enactments from such special sessions and deliver the electronic pages of the 2021 General

ATTACHMENT E – Technical Specifications

Contract Title: Preparation of the Indices to the 2022, and 2024

Supplement to the General Statutes and the 2021 and 2023 *official*

General Statutes of Connecticut

Contract # JCLM20REG0015

Statutes Index in not more than **two (2) calendar weeks** from receipt of the codified enactments arising from such special sessions;

- (e) Supply the LCO with one (1) copy of the database of the 2021 General Statutes Index, in ASCII format, on a virus-free CD-ROM or in an FTP file, together with a full description of the database files showing the record layout, coding, etc., sufficient for the LCO to utilize the same for its own purposes. The Contractor shall charge the CGA therefor in accordance with the applicable pricing in Attachment B hereof;

The CD-ROM or FTP file of the database of the 2021 General Statutes Index shall be delivered or transmitted to the LCO in not more than **three (3) calendar weeks** following the delivery of the electronic pages of the 2021 General Statutes Index to the LCO;

- (f) Supply the LCO with one (1) print-image version without coding of the database of the 2021 General Statutes Index, in Microsoft Word format, on a virus-free CD-ROM or in an FTP file, together with a full description of the database files showing the record layout, etc., sufficient for the LCO to utilize the same for its own purposes. The Contractor shall charge the CGA therefor in accordance with the applicable pricing in Attachment B hereof;

The CD-ROM or FTP file of the print-image version without coding of the database of the 2021 General Statutes Index shall be delivered or transmitted to the LCO in not more than **three (3) calendar weeks** following the delivery of the electronic pages of the 2021 General Statutes Index to the LCO;

- (g) Supply the LCO with one (1) copy of the reverse or numeric version of the database of the 2021 General Statutes Index, in ASCII format, on a virus-free CD-ROM or in an FTP file, showing in numeric sequence where each section of the 2021 General Statutes, and the United States and Connecticut Constitutions and the several Amendments thereto, are indexed, together with a full description of the database files showing the record layout, coding, etc., sufficient for the LCO to utilize the same for its own purposes. The Contractor shall charge the CGA therefor in accordance with the applicable pricing in Attachment B hereof;

The CD-ROM or FTP file of the reverse or numeric version of the database of the 2021 General Statutes Index shall be delivered or transmitted to the CGA in not more than **three (3) calendar weeks** following the delivery of the electronic pages of the 2021 General Statutes Index to the LCO;

- (h) If requested by the LCO, return to the LCO all copies of the 2020 codified enactments and any other update materials supplied by the LCO, in good

ATTACHMENT E – Technical Specifications

Contract Title: Preparation of the Indices to the 2022, and 2024

Supplement to the General Statutes and the 2021 and 2023 *official*

General Statutes of Connecticut

Contract # JCLM20REG0015

condition and in correct section number sequence. (**NOTE:** The LCO may require that the copies of the codified enactments be sent to a third party rather than returned to the LCO);

Upon such request, these materials shall be returned to the LCO, or to such third party as the LCO may direct, in not more than **three (3) calendar weeks** following the delivery of the electronic pages of the 2021 General Statutes Index to the LCO.

B. 2021 -- PREPARATION OF THE 2022 SUPPLEMENT INDEX:

(1) Materials to be delivered by the LCO to the Contractor in 2021: The LCO shall deliver the following to the Contractor to enable it to perform under this Contract:

- (a) One (1) set of the 2021 General Statutes, to be shipped to the Contractor on or before **October 4, 2021**;
- (b) If requested by the Contractor, one (1) set of the 2021 Public and Special Acts, to be shipped to the Contractor on or before **October 4, 2021**, or upon publication thereof, whichever is later;
- (c) One (1) copy of the codified enactments from the 2021 regular and special sessions of the CGA, and such other Revisor's editorial changes as there may be to the 2021 General Statutes, and such changes as there may be to the United States and Connecticut Constitutions and the several Amendments thereto, to be shipped to the Contractor on or before **November 1, 2021**. (**NOTE:** It is anticipated that such enactments and editorial changes will affect approximately 2,000 sections of the 2021 General Statutes);
- (d) One (1) copy of any corrections or changes to the 2021 General Statutes Index which may be required by the LCO, to be shipped to the Contractor on or before **November 1, 2021**;
- (e) One (1) copy of the codified enactments from any special session of the CGA which may be held on or after **November 1, 2021**, to be shipped to the Contractor as soon as possible after the adjournment of such session.

(2) Services and products required by the CGA in 2021: The CGA shall require the Contractor to provide or supply the following services and products:

- (a) The updating and revising of the 2021 General Statutes Index to January 1, 2022, including the updating and revising of the entries to the United States and Connecticut Constitutions and the several Amendments thereto, for the

ATTACHMENT E – Technical Specifications

Contract Title: Preparation of the Indices to the 2022, and 2024

Supplement to the General Statutes and the 2021 and 2023 *official*

General Statutes of Connecticut

Contract # JCLM20REG0015

purpose of creating the 2022 Supplement Index. See Item B.(3)(a) hereof for detailed description of the services required;

- (b) The incorporation into the 2022 Supplement Index of all corrections or other changes that may be requested by the LCO and delivered in manuscript form or otherwise. See Item B.(3)(b) hereof for detailed description of the services required;
- (c) Electronic pages of the 2022 Supplement Index. See Item B.(3)(c) and (d) hereof for detailed description of the electronic pages required, and the delivery schedule;
- (d) Proofread or otherwise check for accuracy, to the extent necessary, the electronic pages of the 2022 Supplement Index. See Item B.(3)(d) hereof for detailed description of the services required, and the delivery schedule;
- (e) One (1) copy of the 2022 Supplement Index database, in ASCII format, on a virus-free CD-ROM or in an FTP file, together with a full description of the database files showing the record layout, coding, etc. See Item B.(3)(e) hereof for detailed description of the services required, and the delivery schedule;
- (f) One (1) print-image version without coding of the 2022 Supplement Index database, in Microsoft Word format, on a virus-free CD-ROM or in an FTP file, together with a full description of the database files showing the record layout, etc. See Item B.(3)(f) hereof for detailed description of the services required, and the delivery schedule;
- (g) One (1) copy of the reverse or numeric version of the 2022 Supplement Index database, in ASCII format, on a virus-free CD-ROM or in an FTP file, showing in numeric sequence where each section of the 2021 General Statutes, as supplemented by the 2022 Supplement, and the United States and Connecticut Constitutions and the several Amendments thereto, are indexed. See Item B.(3)(g) hereof for detailed description of the services required, and the delivery schedule;
- (h) If requested by the LCO, return all materials delivered by the LCO to the Contractor in 2021, in good condition. See Item B.(3)(h) hereof for detailed description of the services required, and the delivery schedule.

(3) Work to be performed by the Contractor in 2021: The Contractor shall perform the following in 2021:

- (a) All necessary work to update and revise the 2021 General Statutes Index to January 1, 2022, including the updating and revising of the entries relating to

ATTACHMENT E – Technical Specifications

Contract Title: Preparation of the Indices to the 2022, and 2024

Supplement to the General Statutes and the 2021 and 2023 *official*

General Statutes of Connecticut

Contract # JCLM20REG0015

the United States and Connecticut Constitutions and the several Amendments thereto, for the purpose of creating the 2022 Supplement Index. In updating and revising the 2021 Index, the Contractor shall maintain as far as possible the format and heading structure of the 2019 General Statutes Index. The Contractor shall charge the CGA therefor in accordance with the applicable pricing in Attachment B hereof;

- (b) Incorporate into the 2022 Supplement Index all corrections or other changes that may be requested by the LCO and delivered to the Contractor in manuscript form or otherwise, and shall make no additional charge therefor;
- (c) Extract from the 2022 Supplement Index database all index entries for every section of the General Statutes that was amended or newly created in 2021 and which will be published in the 2022 Supplement. The Contractor shall organize and arrange such entries into a self-contained 2022 Supplement Index, and shall prepare and supply the LCO with electronic pages of same. The Contractor shall also supply electronic pages containing instructions for using the 2022 Supplement Index with the heading “**INDEX – A NOTE ON USAGE**”. All such electronic pages shall be produced in accordance with the **PAGE STYLE AND FORMAT** specifications set out in Section IV. hereof. The Contractor shall charge the CGA for extracting the index entries, organizing and arranging same as the 2022 Supplement Index, and supplying all the electronic pages, in accordance with the applicable pricing in Attachment B hereof;
- (d) Proofread or otherwise check for accuracy, to the extent necessary, the electronic pages of the 2022 Supplement Index, including the “**INDEX – A NOTE ON USAGE**”, and shall make no additional charge therefor;

All the electronic pages of the 2022 Supplement Index shall be delivered to the LCO on or before **December 8, 2021**, provided if there are any special sessions of the CGA held on or after **November 1, 2021**, the Contractor shall update and revise the 2022 Supplement Index with the enactments from such special sessions and deliver the electronic pages of the 2022 Supplement Index in not more than **two (2) calendar weeks** from receipt of the codified enactments arising from such special sessions;

- (e) Supply the LCO with one (1) copy of the 2022 Supplement Index database, in ASCII format, on a virus-free CD-ROM or in an FTP file, together with a full description of the database files showing the record layout, coding, etc., sufficient for the LCO to utilize the same for its own purposes. The Contractor shall charge the CGA therefor in accordance with the applicable pricing in Attachment B hereof;

ATTACHMENT E – Technical Specifications

Contract Title: Preparation of the Indices to the 2022, and 2024

Supplement to the General Statutes and the 2021 and 2023 *official*

General Statutes of Connecticut

Contract # JCLM20REG0015

The CD-ROM or FTP file of the 2022 Supplement Index database shall be delivered or transmitted to the LCO in not more than **three (3) calendar weeks** following the delivery of the electronic pages of the 2022 Supplement Index to the LCO;

- (f) Supply the LCO with one (1) print-image version without coding of the 2022 Supplement Index database, in Microsoft Word format, on a virus-free CD-ROM or in an FTP file, together with a full description of the database files showing the record layout, etc., sufficient for the LCO to utilize the same for its own purposes. The Contractor shall charge the CGA therefor in accordance with the applicable pricing in Attachment B hereof;

The CD-ROM or FTP file of the print-image version without coding of the 2022 Supplement Index database shall be delivered or transmitted to the LCO in not more than **three (3) calendar weeks** following the delivery of the electronic pages of the 2022 Supplement Index to the LCO;

- (g) Supply the LCO with one (1) copy of the reverse or numeric version of the 2022 Supplement Index database, in ASCII format, on a virus-free CD-ROM or in an FTP file, showing in numeric sequence where each section of the 2021 General Statutes, as supplemented by the 2022 Supplement, and the United States and Connecticut Constitutions and the several Amendments thereto, are indexed, together with a full description of the database files showing the record layout, coding, etc., sufficient for the LCO to utilize the same for its own purposes. The Contractor shall charge the CGA therefor in accordance with the applicable pricing in Attachment B hereof;

The CD-ROM or FTP file of the reverse or numeric version of the 2022 Supplement Index database shall be delivered or transmitted to the LCO in not more than **three (3) calendar weeks** following the delivery of the electronic pages of the 2022 Supplement Index to the LCO;

- (h) If requested by the LCO, return to the LCO all copies of the 2021 codified enactments and any other update materials supplied by the LCO, in good condition and in correct section number sequence. (**NOTE:** The LCO may require that the copies of the codified enactments be sent to a third party rather than returned to the LCO);

Upon such request, these materials shall be returned to the LCO, or to such third party as the LCO may direct, in not more than **three (3) calendar weeks** following the delivery of the electronic pages of the 2022 Supplement Index to the LCO.

C. 2022 -- PREPARATION OF THE 2023 GENERAL STATUTES INDEX:

ATTACHMENT E – Technical Specifications

Contract Title: Preparation of the Indices to the 2022, and 2024

Supplement to the General Statutes and the 2021 and 2023 *official*

General Statutes of Connecticut

Contract # JCLM20REG0015

(1) Materials to be delivered by the LCO to the Contractor in 2022: The LCO shall deliver the following to the Contractor to enable it to perform under this Contract:

- (a) If requested by the Contractor, one (1) set of the 2022 Public and Special Acts, to be shipped to the Contractor on or before **October 1, 2022**, or upon publication thereof, whichever is later;
- (b) One (1) copy of the codified enactments from the 2022 regular and special sessions of the CGA, and such other Revisor’s editorial changes as there may be to the 2021 General Statutes and the 2022 Supplement, and such changes as there may be to the United States and Connecticut Constitutions and the several Amendments thereto, to be shipped to the Contractor on or before **November 1, 2022**. (**NOTE:** It is anticipated that such enactments and editorial changes will affect approximately 1,500 sections of the 2021 General Statutes and the 2022 Supplement);
- (c) One (1) copy of any corrections or changes to the 2022 Supplement Index which may be required by the LCO, to be shipped to the Contractor on or before **November 1, 2022**;
- (d) One (1) copy of the codified enactments from any special session of the CGA which may be held on or after **November 1, 2022**, to be shipped to the Contractor as soon as possible after the adjournment of such session.

(2) Services and products required by the CGA in 2022: The CGA shall require the Contractor to provide or supply the following services and products:

- (a) The updating and revising of the 2022 Supplement Index to January 1, 2023, including the updating and revising of the entries to the United States and Connecticut Constitutions and the several Amendments thereto, for the purpose of creating the 2023 General Statutes Index. See Item C.(3)(a) hereof for detailed description of the services required;
- (b) The incorporation into the 2023 General Statutes Index of all corrections or other changes that may be requested by the LCO and delivered in manuscript form or otherwise. See Item C.(3)(b) hereof for detailed description of the services required;
- (c) Electronic pages of the 2023 General Statutes Index. See Item C.(3)(c) and (d) hereof for detailed description of the electronic pages required, and the delivery schedule;

ATTACHMENT E – Technical Specifications

Contract Title: Preparation of the Indices to the 2022, and 2024

Supplement to the General Statutes and the 2021 and 2023 *official*
General Statutes of Connecticut

Contract # JCLM20REG0015

- (d) Proofread or otherwise check for accuracy, to the extent necessary, the electronic pages of the 2023 General Statutes Index. See Item C.(3)(d) hereof for detailed description of the services required, and the delivery schedule;
 - (e) One (1) copy of the 2023 General Statutes Index database, in ASCII format, on a virus-free CD-ROM or in an FTP file, together with a full description of the database files showing the record layout, coding, etc. See Item C.(3)(e) hereof for detailed description of the services required, and the delivery schedule;
 - (f) One (1) print-image version without coding of the 2023 General Statutes Index database, in Microsoft Word format, on a virus-free CD-ROM or in an FTP file, together with a full description of the database files showing the record layout, etc. See Item C.(3)(f) hereof for detailed description of the services required, and the delivery schedule;
 - (g) One (1) copy of the reverse or numeric version of the 2023 General Statutes Index, in ASCII format, on a virus-free CD-ROM or in an FTP file, showing in numeric sequence where each section of the 2023 General Statutes, and the United States and Connecticut Constitutions and the several Amendments thereto, are indexed. See Item C.(3)(g) hereof for detailed description of the services required, and the delivery schedule;
 - (h) If requested by the LCO, return all materials delivered by the LCO to the Contractor in 2022, in good condition. See Item C.(3)(h) hereof for detailed description of the services required, and the delivery schedule.
- (3) Work to be performed by the Contractor in 2022:** The Contractor shall perform the following in 2022:
- (a) All necessary work to update and revise the 2022 Supplement Index to January 1, 2023, including the updating and revising of the entries relating to the United States and Connecticut Constitutions and the several Amendments thereto, for the purpose of creating the 2023 General Statutes Index. In updating and revising the 2022 Supplement Index, the Contractor shall maintain as far as possible the format and heading structure of the 2019 General Statutes Index. The Contractor shall charge the CGA therefor in accordance with the applicable pricing in Attachment B hereof;
 - (b) Incorporate into the 2022 Supplement Index all corrections or other changes that may be requested by the LCO and delivered to the Contractor in manuscript form or otherwise, and shall make no additional charge therefor;
 - (c) Prepare and supply the LCO with the electronic pages of the 2023 General Statutes Index, and the instructions for using the 2023 General Statutes Index

ATTACHMENT E – Technical Specifications

Contract Title: Preparation of the Indices to the 2022, and 2024

Supplement to the General Statutes and the 2021 and 2023 *official*

General Statutes of Connecticut

Contract # JCLM20REG0015

with the heading “**INDEX – A NOTE ON USAGE**”, in accordance with the **PAGE STYLE AND FORMAT** specifications set out in Section IV. hereof. The electronic pages of the 2023 General Statutes Index shall be delivered to the LCO divided into the alphabetic letter ranges “A through H”, “I through S”, and “T through Z”, in the same manner as in Volumes 14, 15 and 16, respectively, of the 2019 General Statutes. The electronic pages of the “**INDEX – A NOTE ON USAGE**” shall contain the centered foot folios “iii” and “iv” respectively. The Contractor shall charge the CGA for effecting the updating and revision of the 2022 Supplement Index and supplying all the electronic pages of the 2023 General Statutes Index in accordance with the applicable pricing in Attachment B hereof;

- (d) Proofread or otherwise check for accuracy, to the extent necessary, the electronic pages of the 2023 General Statutes Index, including the “**INDEX – A NOTE ON USAGE**”, and shall make no additional charge therefor;

All the electronic pages of the 2023 General Statutes Index shall be delivered to the LCO on or before **December 8, 2022**, provided if there are any special sessions of the CGA held on or after **November 1, 2022**, the Contractor shall update and revise the 2023 General Statutes Index with the enactments from such special sessions and deliver the electronic pages of the 2023 General Statutes Index in not more than **two (2) calendar weeks** from receipt of the codified enactments arising from such special sessions;

- (e) Supply the LCO with one (1) copy of the database of the 2023 General Statutes Index, in ASCII format, on a virus-free CD-ROM or in an FTP file, together with a full description of the database files showing the record layout, coding, etc., sufficient for the LCO to utilize the same for its own purposes. The Contractor shall charge the CGA therefor in accordance with the applicable pricing in Attachment B hereof;

The CD-ROM or FTP file of the database of the 2023 General Statutes Index shall be delivered or transmitted to the LCO in not more than **three (3) calendar weeks** following the delivery of the electronic pages of the 2023 General Statutes Index to the LCO;

- (f) Supply the LCO with one (1) print-image version without coding of the database of the 2023 General Statutes Index, in Microsoft Word format, on a virus-free CD-ROM or in an FTP file, together with a full description of the database files showing the record layout, etc., sufficient for the LCO to utilize the same for its own purposes. The Contractor shall charge the CGA therefor in accordance with the applicable pricing in Attachment B hereof;

ATTACHMENT E – Technical Specifications

Contract Title: Preparation of the Indices to the 2022, and 2024

Supplement to the General Statutes and the 2021 and 2023 *official*

General Statutes of Connecticut

Contract # JCLM20REG0015

The CD-ROM or FTP file of the print-image version without coding of the database of the 2023 General Statutes Index shall be delivered or transmitted to the LCO in not more than **three (3) calendar weeks** following the delivery of the electronic pages of the 2023 General Statutes Index to the LCO;

- (g) Supply the LCO with one (1) copy of the reverse or numeric version of the database of the 2023 General Statutes Index, in ASCII format, on a virus-free CD-ROM or in an FTP file, showing in numeric sequence where each section of the 2023 General Statutes, and the United States and Connecticut Constitutions and the several Amendments thereto, are indexed, together with a full description of the database files showing the record layout, coding, etc., sufficient for the LCO to utilize the same for its own purposes. The Contractor shall charge the CGA therefor in accordance with the applicable pricing in Attachment B hereof;

The CD-ROM or FTP file of the reverse or numeric version of the database of the 2023 General Statutes Index shall be delivered or transmitted to the LCO in not more than **three (3) calendar weeks** following the delivery of the electronic pages of the 2023 General Statutes Index to the LCO;

- (h) If requested by the LCO, return to the LCO all copies of the 2023 codified enactments and any other update materials supplied by the LCO, in good condition and in correct section number sequence. (**NOTE:** The LCO may require that the copies of the codified enactments be sent to a third party rather than returned to the LCO);

Upon such request, these materials shall be returned to the LCO, or to such third party as the LCO may direct, in not more than **three (3) calendar weeks** following the delivery of the electronic pages of the 2023 General Statutes Index to the LCO.

D. 2023 -- PREPARATION OF THE 2024 SUPPLEMENT INDEX:

(1) Materials to be delivered by the LCO to the Contractor in 2023: The LCO shall deliver the following to the Contractor to enable it to perform under this Contract:

- (a) One (1) set of the 2023 General Statutes, to be shipped to the Contractor on or before **October 1, 2023**;
- (b) If requested by the Contractor, one (1) set of the 2023 Public and Special Acts, to be shipped to the Contractor on or before **October 1, 2023**, or upon publication of said acts, whichever is later;

ATTACHMENT E – Technical Specifications

Contract Title: Preparation of the Indices to the 2022, and 2024

Supplement to the General Statutes and the 2021 and 2023 *official*

General Statutes of Connecticut

Contract # JCLM20REG0015

- (c) One (1) copy of the codified enactments from the 2023 regular and special sessions of the CGA, and such other Revisor’s editorial changes as there may be to the 2023 General Statutes, and such changes as there may be to the United States and Connecticut Constitutions and the several Amendments thereto, to be shipped to the Contractor on or before **November 1, 2023**. (**NOTE:** It is anticipated that such enactments and editorial changes will affect approximately 2,000 sections of the 2023 General Statutes);
 - (d) One (1) copy of any corrections or changes to the 2023 General Statutes Index, which may be required by the LCO, to be shipped to the Contractor on or before **November 1, 2023**;
 - (e) One (1) copy of the codified enactments from any special session of the CGA which may be held on or after **November 1, 2023**, to be shipped to the Contractor as soon as possible after the adjournment of such session.
- (2) Services and products required by the CGA in 2023:** The CGA shall require the Contractor to provide or supply the following services and products:
- (a) The updating and revising of the 2023 General Statutes Index to January 1, 2024, including the updating and revising of the entries to the United States and Connecticut Constitutions and the several Amendments thereto, for the purpose of creating the 2024 Index. See Item D.(3)(a) hereof for detailed description of the services required;
 - (b) The incorporation into the 2024 Index of all corrections or other changes that may be requested by the LCO and delivered in manuscript form or otherwise. See Item D.(3)(b) hereof for detailed description of the services required;
 - (c) Electronic pages of the 2024 Supplement Index. See Item D.(3)(c) and (d) hereof for detailed description of the electronic pages required, and the delivery schedule;
 - (d) Proofread or otherwise check for accuracy, to the extent necessary, the electronic pages of the 2024 Supplement Index. See Item D.(3)(d) hereof for detailed description of the services required, and the delivery schedule;
 - (e) One (1) copy of the 2024 Index database, in ASCII format, on a virus-free CD-ROM or in an FTP file, together with a full description of the database files showing the record layout, coding, etc. See Item D.(3)(e) hereof for detailed description of the services required, and the delivery schedule;
 - (f) One (1) print-image version without coding of the 2024 Index database, in Microsoft Word format, on a virus-free CD-ROM or in an FTP file, together

ATTACHMENT E – Technical Specifications

Contract Title: Preparation of the Indices to the 2022, and 2024

Supplement to the General Statutes and the 2021 and 2023 *official*

General Statutes of Connecticut

Contract # JCLM20REG0015

with a full description of the database files showing the record layout, etc. See Item D.(3)(f) hereof for detailed description of the services required, and the delivery schedule;

- (g) One (1) copy of the reverse or numeric version of the 2024 Index database, in ASCII format, on a virus-free CD-ROM or in an FTP file, showing in numeric sequence where each section of the 2023 General Statutes, as supplemented by the 2024 Supplement, and the United States and Connecticut Constitutions and the several Amendments thereto, are indexed. See Item D.(3)(g) hereof for detailed description of the services required, and the delivery schedule;
- (h) If requested by the LCO, return all materials delivered by the LCO to the Contractor in 2023, in good condition. See Item D.(3)(h) hereof for detailed description of the services required, and the delivery schedule.

(3) Work to be performed by the Contractor in 2023: The Contractor shall perform the following in 2023:

- (a) All necessary work to update and revise the 2023 General Statutes Index to January 1, 2024, including the updating and revising of the entries relating to the United States and Connecticut Constitutions and the several Amendments thereto, for the purpose of creating the 2024 Index. In updating and revising the 2023 Index, the Contractor shall maintain as far as possible the format and heading structure of the 2019 General Statutes Index. The Contractor shall charge the CGA therefor in accordance with the applicable pricing in Attachment B hereof;
- (b) Incorporate into the 2024 Index all corrections or other changes that may be requested by the LCO and delivered to the Contractor in manuscript form or otherwise, and shall make no additional charge therefor;
- (c) Extract from the 2024 Index database all index entries for every section of the General Statutes that was amended or newly created in 2023 and which will be published in the 2024 Supplement. The Contractor shall organize and arrange such entries into a self-contained 2024 Supplement Index, and shall prepare and supply the LCO with electronic pages of same. The Contractor shall also supply electronic pages containing instructions for using the 2024 Supplement Index with the heading “**INDEX – A NOTE ON USAGE**”. All such electronic pages shall be produced in accordance with the **PAGE STYLE AND FORMAT** specifications set out in Section IV. hereof. The Contractor shall charge the CGA for extracting the index entries, organizing and arranging same as the 2024 Supplement Index, and supplying all the electronic pages, in accordance with the applicable pricing in Attachment B hereof;

ATTACHMENT E – Technical Specifications

Contract Title: Preparation of the Indices to the 2022, and 2024

Supplement to the General Statutes and the 2021 and 2023 *official*

General Statutes of Connecticut

Contract # JCLM20REG0015

- (d) Proofread or otherwise check for accuracy, to the extent necessary, the electronic pages of the 2024 Supplement Index, including the “**INDEX – A NOTE ON USAGE**”, and shall make no additional charge therefor;

All the electronic pages of the 2024 Supplement Index shall be delivered to the LCO on or before **December 8, 2023**, provided if there are any special sessions of the CGA held on or after **November 1, 2023**, the Contractor shall update and revise the 2024 Index with the enactments from such special sessions and deliver the electronic pages of the 2024 Supplement Index in not more than **two (2) calendar weeks** from receipt of the codified enactments arising from such special sessions;

- (e) Supply the LCO with one (1) copy of the 2024 Index database, in ASCII format, on a virus-free CD-ROM or in an FTP file, together with a full description of the database files showing the record layout, coding, etc., sufficient for the LCO to utilize the same for its own purposes. The Contractor shall charge the CGA therefor in accordance with the applicable pricing in Attachment B hereof;

The CD-ROM or FTP file of the 2024 Index database shall be delivered or transmitted to the LCO in not more than **three (3) calendar weeks** following the delivery of the electronic pages of the 2024 Supplement Index to the LCO;

- (f) Supply the LCO with one (1) print-image version without coding of the 2024 Index database, in Microsoft Word format, on a virus-free CD-ROM or in an FTP file, together with a full description of the database files showing the record layout, etc., sufficient for the LCO to utilize the same for its own purposes. The Contractor shall charge the CGA therefor in accordance with the applicable pricing in Attachment B hereof;

The CD-ROM or FTP file of the print-image version without coding of the 2024 Index database shall be delivered or transmitted to the LCO in not more than **three (3) calendar weeks** following the delivery of the electronic pages of the 2024 Supplement Index to the LCO;

- (g) Supply the LCO with one (1) copy of the reverse or numeric version of the 2024 Index database, in ASCII format, on a virus-free CD-ROM or in an FTP file, showing in numeric sequence where each section of the 2023 General Statutes, as supplemented by the 2024 Supplement, and the United States and Connecticut Constitutions and the several Amendments thereto, are indexed, together with a full description of the database files showing the record layout, coding, etc., sufficient for the LCO to utilize the same for its own purposes. The Contractor shall charge the CGA therefor in accordance with the applicable pricing in Attachment B hereof;

ATTACHMENT E – Technical Specifications

Contract Title: Preparation of the Indices to the 2022, and 2024

Supplement to the General Statutes and the 2021 and 2023 *official*

General Statutes of Connecticut

Contract # JCLM20REG0015

The CD-ROM or FTP file of the reverse or numeric version of the 2024 Index database shall be delivered or transmitted to the LCO in not more than **three (3) calendar weeks** following the delivery of the electronic pages of the 2024 Supplement Index to the LCO;

- (h) If requested by the LCO, return to the LCO all copies of the 2023 codified enactments and any other update materials supplied by the LCO, in good condition and in correct section number sequence. (**NOTE:** The LCO may require that the copies of the codified enactments be sent to a third party rather than returned to the LCO);

Upon such request, these materials shall be returned to the LCO, or to such third party as the LCO may direct, in not more than **three (3) calendar weeks** following the delivery of the electronic pages of the 2024 Supplement Index to the LCO.

F. SPECIFIC CONTRACTOR GUARANTEES: In addition to the other Contractor guarantees contained in this Contract, the Contractor shall specifically agree and guarantee:

- (1) To ensure that all electronic pages delivered to the LCO under this Contract are free of defects and produced in accordance with the specifications provided for in this Contract;
- (2) To correct, at its own expense, any errors that it may create in the preparation of the electronic pages of the 2021 General Statutes Index, the 2022 Supplement Index, the 2023 General Statutes Index, or the 2024 Supplement Index, as the case may be, which the LCO may discover before or after the electronic pages have been used by the CGA's printer in the preparation of the multiple printed volumes of the 2021 General Statutes, the 2022 Supplement, the 2023 General Statutes, or the 2024 Supplement, as the case may be. If it is not reasonably possible for the CGA's printer to effect any such correction due to the status of production of the printed volumes, the Contractor shall, at its own expense, provide errata sheets or labels in the quantity of the print run of the volumes affected and shall assume the distribution costs of the errata sheets or labels to all users of the defective books. The current estimated print run for the 2021 General Statutes is approximately 4,445 copies of each volume;
- (3) To ensure that all CD-ROMs or FTP files delivered or transmitted to the CGA pursuant to this Contract are complete, readable and virus free; and
- (4) To perform all services and deliveries as provided for in this Contract.

ATTACHMENT E – Technical Specifications

Contract Title: Preparation of the Indices to the 2022, and 2024

Supplement to the General Statutes and the 2021 and 2023 *official*
General Statutes of Connecticut

Contract # JCLM20REG0015

G. LIABILITY FOR LOSS OR INJURY TO MATERIALS: The Contractor shall be liable for any loss of or injury to any material furnished under this Contract which is caused by the Contractor’s failure to exercise such care in regard to such material as a reasonable careful owner of similar material.

H. DELIVERY: All electronic pages and CD-ROMs or FTP files provided for under this Contract, and any materials being returned by the Contractor to the CGA pursuant this Contract, shall be delivered or transmitted to the LCO, Room 5500, Legislative Office Building, 300 Capitol Avenue, Hartford, CT 06106-1591, or to the e-mail address or Internet FTP site specified by the LCO, or to such other address as the LCO may designate in writing. **There shall be no additional cost for transportation, including air transportation, because these costs are included in the applicable prices in Attachment B.**

I. LIQUIDATED DAMAGES FOR LATE DELIVERY: It is understood and agreed that time is of the essence and that in the case of failure on the part of the Contractor, except with the written consent of the LCO, to complete the furnishing of services as provided for in this Contract within the time required, the CGA shall have the right to deduct from any moneys due, or which may become due, or if no moneys shall become due, the right to recover the amount of five hundred dollars (\$500.00), for each calendar day elapsing between the time agreed for delivery and the actual date of delivery, in accordance with the terms of this Contract, the deduction to be made or the sum to be recovered as liquidated damages.

J. PASSING OF TITLE: Title to and possession of the electronic pages and CD-ROMs or FTP files provided for under this Contract shall pass to the State of Connecticut upon delivery or transmission of same to the LCO, Room 5500, Legislative Office Building, 300 Capitol Avenue, Hartford, CT 06106-1591, or to the e-mail address or Internet FTP site specified by the LCO, or to such other address as the LCO may designate in writing.

K. USE BY CONTRACTOR OF MATERIALS DELIVERED BY THE LCO: The Contractor shall not divulge or utilize for its own benefit or purposes, or for the benefit or purposes of any of its clients or subscribers or any other person, any material delivered to it or acquired by it in the course of carrying out this Contract without the prior written consent of the Legislative Commissioners, or their designee, on behalf of the CGA.

L. ASSIGNMENT OF COPYRIGHT: The 2021 General Statutes Index, the 2022 Supplement Index, the 2023 General Statutes Index, and the 2024 Index produced pursuant to this Contract are each a “work made for hire” specially commissioned by the CGA. To the extent, if any, that any Index produced pursuant to this Contract is not deemed a “work made for hire”, such Index is hereby assigned to the CGA and all rights thereto shall be vested solely in the CGA.

**III. PAGE STYLE AND FORMAT
OF THE INDICES TO THE
2021 GENERAL STATUTES, THE
2022 SUPPLEMENT, THE
2023 GENERAL STATUTES, AND THE
2024 SUPPLEMENT**

(See generally the 2020 Supplement Index and the 2019 General Statutes Index
for examples of page style and format)

A. FONT: Times Roman. Font shall distinguish between a lower case “L” and the Arabic numeral “1”.

B. COMPOSITION: Type bed: Set 9/10 Times Roman; Print area: 30.5 x 49.5 picas. Each page shall contain two (2) evenly balanced columns of data 14.5 picas wide and separated by 1.5 picas of white space. (See “J. BALANCING OF COLUMNS AND PAGES” and “K. ELECTRONIC PAGES” below).

C. FOLIOS AND RUNNING HEADS: Set 10/11 Times Roman, bold;

(1) Folios – top outside margin both recto and verso for all pages, except first page of each index which shall be a recto page without folio or running head;

(2) Running head – the word “**INDEX**” centered on all pages both recto and verso except first page;

(3) First page – no running head and no folio. A sinkage of 6 picas of white space shall be left above the centered word “**INDEX**” followed by a single column explanatory note before the start of the double columns. The explanatory note shall extend to the full width of the print area.

D. RUNNING FOOT IN THE 2022 SUPPLEMENT: All pages, both recto and verso, will carry the centered running foot “**2022 SUPPLEMENT TO THE GENERAL STATUTES**”, set 8/9 bold, and separated from the text above by approximately 12 points of leading;

NOTE: The 2021 General Statutes and 2023 General Statutes will not include a running foot on any page.

E. RUNNING FOOT IN THE 2024 SUPPLEMENT: All pages, both recto and verso, will carry the centered running foot “**2024 SUPPLEMENT TO THE GENERAL STATUTES**”, set 8/9 bold, and separated from the text above by approximately 12 points of leading;

ATTACHMENT E – Technical Specifications

Contract Title: Preparation of the Indices to the 2022, and 2024

Supplement to the General Statutes and the 2021 and 2023 *official*

General Statutes of Connecticut

Contract # JCLM20REG0015

F. ALPHABETIC DIVIDERS: Each new letter of the alphabet shall begin on a recto page preceded by its specific letter which shall be bold face and centered above the left-hand column.

G. BOLD FACE: All main headings shall set in bold face. All cross-references beginning flush left with “*See*” or “*See also*” shall set in bold face through the punctuation at the end of the main heading referred to. All subheadings beginning flush left shall set in bold face through the first punctuation or through the final comma if there are indented entries below the subheading. In the case of continuation main headings and subheadings at the top of subsequent columns, “—Cont'd” following the main heading or subheading shall not be set in bold face.

H. SECTION REFERENCES: Section references shall be separated from the text of the entry by a comma.

I. BALANCING OF COLUMNS AND PAGES: All columns shall be evenly balanced except on the last page of the Indices where the composed data shall be forced up, if necessary, so as to maintain normal leading even though to do so may result in a short column. All facing pages shall also be balanced except that a one (1) pica difference in page depth will be acceptable if absolutely necessary.

J. ELECTRONIC PAGES: All electronic pages prepared under this Contract shall be, when printed, in the form of single pages measuring not less than 54 picas long by not less than 36 picas wide. There shall be top margins of at least 4.5 picas of white space, bottom margins of at least 3.0 picas of white space, and side margins of at least 2.5 picas each of white space. All pages shall meet these requirements even though the actual area of print does not fill the normal print area of 30.5 x 49.5 picas.

NOTE: The Contractor shall include top margin trim line/crop marks for proofing purposes, but the LCO will request that they be removed prior to the preparation of the final production electronic pages.

K. GENERALLY. The style and format of all Indices produced pursuant to this Contract shall be, as far as possible, the same as those used in the 2019 General Statutes Index. Any variations in the actual style and format shall be agreed upon by the Legislative Commissioners, or their designee, on behalf of the CGA, and the Contractor, and such agreement shall be reduced to writing prior to the commencement of the particular publication project.

L. INDEX – A NOTE ON USAGE: The style and format of the instructions for using the 2021 General Statutes Index, the 2022 Supplement Index, the 2023 General Statutes Index, and the 2024 Supplement Index shall be, as far as possible, the same as the style and

ATTACHMENT E – Technical Specifications

Contract Title: Preparation of the Indices to the 2022, and 2024

Supplement to the General Statutes and the 2021 and 2023 *official*

General Statutes of Connecticut

Contract # JCLM20REG0015

format used for the notes preceding the 2014 Supplement Index and the 2019 General Statutes Index.

M. “COPYRIGHT” PAGES: No “copyright” pages are required for any of the Indices produced pursuant to this Contract.






Contract - CGS Supp Index Prep JCLM20REG0015 (West)_Signed

Final Audit Report

2020-10-21

Created:	2020-10-21
By:	Eric Crockett (eric.crockett@cga.ct.gov)
Status:	Signed
Transaction ID:	CBJCHBCAABAA0f0oLSb0bcpJTB7izR5eAs0tnXoG1uOy

"Contract - CGS Supp Index Prep JCLM20REG0015 (West)_Signed" History

-  Document created by Eric Crockett (eric.crockett@cga.ct.gov)
2020-10-21 - 2:42:38 PM GMT- IP address: 159.247.160.66
-  Document emailed to James E. Tamburro (jim.tamburro@cga.ct.gov) for signature
2020-10-21 - 2:52:52 PM GMT
-  Email viewed by James E. Tamburro (jim.tamburro@cga.ct.gov)
2020-10-21 - 4:25:00 PM GMT- IP address: 159.247.160.66
-  Document e-signed by James E. Tamburro (jim.tamburro@cga.ct.gov)
Signature Date: 2020-10-21 - 4:25:51 PM GMT - Time Source: server- IP address: 159.247.160.66
-  Agreement completed.
2020-10-21 - 4:25:51 PM GMT